



# Pulmonary artery pressure is associated with mid-term major adverse cardiovascular events and postprocedure pericardial effusion in atrial fibrillation patients undergoing left atrial appendage occlusion

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**Background:** Patients with nonvalvular atrial fibrillation (NVAf) undergoing left atrial appendage occlusion (LAAO) are at high risk of stroke or bleeding. However, risk factors for their adverse cardiovascular events remain largely unknown. Pulmonary hypertension has been shown to be related to poor prognosis in many heart diseases. In this study, we determined whether elevated pulmonary artery systolic pressure (PASP) is associated with postprocedure adverse events and major adverse cardiovascular events (MACE) in these patients.

**Methods:** From June 2017 and December 2019, 530 consecutive patients with NAVF at high risk of stroke or bleeding who undergone LAAO were retrospectively enrolled in our study. The preprocedure PASP was obtained by transthoracic echocardiography using the simplified Bernoulli's equation. Patients were followed-up through clinic visits or over the phone at discharge at 1–3 months, 6 months, and annually thereafter. The median follow-up time was 12 months, and clinical data were analyzed. MACE was defined as myocardial infarction, definite heart failure, stroke, or all-cause death. The outcome of postprocedure pericardial effusion included in-hospital pericardial effusion and pericardial effusion detected after discharge.

**Results:** Univariate analyses indicated that patients who had MACE tended to have elevated PASP ( $P=0.005$ ). After dividing the cohort according to the cut-off value of PASP, Kaplan-Meier curves indicated that patients with PASP  $\geq 39.5$  mmHg had a higher risk of MACE ( $P=0.007$ ) and heart failure hospitalization ( $P=0.005$ ) compared to patients whose PASP  $< 39.5$  mmHg. Cox regression analysis showed that PASP was a predominant risk factor of MACE (HR =2.337, 95% CI, 1.207–4.526,  $P=0.012$ ) and heart failure hospitalization (HR =3.701, 95% CI, 1.118–12.251,  $P=0.032$ ). Furthermore, the PASP cut-off added incremental discriminatory capacity to the MACE risk model of this cohort. In addition, logistic regression showed that PASP had a significant association with postprocedure pericardial effusion (OR =1.061,  $P=0.032$ ).

**Conclusions:** Elevated PASP was associated with postprocedure pericardial effusion and mid-term MACEs in patients with atrial fibrillation (AF) undergoing LAAO.

**Keywords:** Atrial fibrillation (AF); pulmonary artery systolic pressure (PASP); prognosis; left atrial appendage occlusion (LAAO)

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## Introduction

Atrial fibrillation (AF) is the most common arrhythmia worldwide, with a significant impact on both morbidity and mortality (1). AF patients at high risk of stroke or bleeding are often recommended to receive left atrial appendage occlusion (LAAO) (2). This cohort of AF patients undergoing LAAO share risk factors of major adverse cardiovascular events (MACE), major risk factors of which including age, obesity, history of stroke, history of atrial fibrillation, New York Heart Association (NYHA) class, N-terminal pro brain natriuretic peptide (NT-proBNP) and pulmonary artery systolic pressure (PASP) (3-6).

Among them, PASP measured by transthoracic echocardiography is a non-invasive method routinely quantified in clinical practice (7-9). As a known complication of left-sided heart disease, pulmonary hypertension is related to poor outcomes, even with mild elevation in pulmonary pressure (10-12). Previous studies have identified the association between pulmonary hypertension and multiple adverse events of the heart failure and hypertrophic cardiomyopathy cohorts (3,13,14). From the perspective of pathophysiology, frequently onset of AF leads to sustained elevation of left atrial pressure. It may cause alveolar-capillary network destruction and pulmonary vascular remodeling, consequently leading to pulmonary arterial hypertension and right ventricular dysfunction or failure. Following LAAO, dilated pulmonary artery induced by pulmonary arterial hypertension may increase the friction between the pulmonary artery and the occlusion device, which may account for subsequent perforation or reactive pericardial effusion.

In this study, we aimed to determine whether elevated PASP is associated with postprocedure adverse events and MACE in AF patients undergoing LAAO, thus elucidate the implications of PASP on the prognosis of high risk cohort of AF patients. We present the following article in accordance with the STROBE reporting checklist (available at <https://dx.doi.org/10.21037/atm-21-3561>).

## Methods

### *Study population*

Using a retrospective cohort study design, we identified

consecutive patients with AF who successfully underwent LAAO using the Watchman device at Zhongshan Hospital, Fudan University between June 2017 and December 2019. The inclusion criteria included those patients aged 18 years and older, whose CHA<sub>2</sub>DSVASC  $\geq 2$  or HAS-BLED  $\geq 3$ . The exclusion criteria included patients who were diagnosed with valvular AF. Finally, 530 patients were included in our study cohort.

All procedures performed in this study involving human participants were in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Institutional Review Board of Zhongshan Hospital, Fudan University, Shanghai, China (B2021-042) and individual consent for this retrospective analysis was waived.

### *Echocardiography*

All patients received a transthoracic echocardiography 1-7 days prior to LAAO. The PASP was obtained by echocardiography using the simplified Bernoulli's equation:  $[PASP = 4 \times (\text{peak tricuspid regurgitant velocity})^2 + \text{mean right atrial pressure}]$ . Measurements were made by experienced cardiac sonographers who were blinded to the clinical data.

### *Procedure and follow-up*

Other clinical data, including age, gender, BMI, medical history, laboratory measures, echocardiographic parameters and postprocedure medication were analyzed, and no missing data was detected across all variables.

All patients in our cohort were implanted with the Watchman device in accordance with descriptions detailed in previous studies (15). Intra-procedural imaging assessed the left atrial appendage size and excluded the presence of intracardiac thrombi. A routine 60-day course of oral anticoagulants was recommended, with postprocedure follow-ups at 1-3 months, 6 months, and annually thereafter. Patients were followed-up through clinic visits or over the phone at discharge.

In our study, MACE was defined as myocardial infarction, definite heart failure, stroke, or all-cause death.

The outcome of postprocedure pericardial effusion

included in-hospital pericardial effusion and pericardial effusion detected after discharge.

### Statistical analysis

Continuous values were reported as mean  $\pm$  standard deviation (SD) when normally distributed, and as median and interquartile range when skewed. Categorical variables were reported as frequencies and percentages. An independent-sample *t*-test was used to identify the differences in continuous variables [age, body mass index (BMI), eGFR, left atrial diameter (LAD), hemoglobin] and Kruskal-Wallis test was used to identify the differences in continuous variables [Troponin I, NT-proBNP, Creatinine, high sensitive C-reactive protein (hsCRP), D-dimer, left ventricular ejection fraction (LVEF), PASP]. Chi-square test was used to identify differences in categorical variables. The PASP value was investigated using a receiver operating characteristics (ROC) curve to determine the optimal cut-off value for the prediction of MACE. The optimal cut-off was defined as the point of the highest Youden index (Youden index = sensitivity + specificity – 1). The event free survival rate was analyzed using a Kaplan-Meier survival curve, and log-rank tests were applied for comparison. The covariates used for adjustment were as follows: age and gender in model 1; age, gender, BMI, history of diabetes, history of hypertension, history of paroxysmal AF, history of ischaemic vascular disease, history of coronary heart disease, history of stroke, history of chronic heart failure in model 2; age, gender, BMI, history of diabetes, history of hypertension, history of paroxysmal AF, history of ischaemic vascular disease, history of coronary heart disease, history of stroke, history of chronic heart failure, NYHA class, LVEF, LAD, troponin I, NT-proBNP, Creatinine, hsCRP, D-dimer, and Hemoglobin in model 3; and age, body mass index, history of stroke, NYHA class, NT-proBNP, eGFR, hsCRP and PASP in model 4. Models 1, 2, 3 and 4 were used to estimate the risk of MACE and heart failure hospitalization separately. Multivariate Cox proportional hazards analysis and area under the ROC curve (AUC) were applied to evaluate the discriminatory capacity of the models for predicting the risk of MACE and heart failure hospitalization. Logistic regression and univariate analyses were applied to predict postprocedure pericardial effusion. No missing data was detected across all variables. All statistical analyses were performed using SPSS software, version 24 software (SPSS; Chicago, IL, USA). The two-sided  $P < 0.05$  was considered statistically significant.

## Results

### Patient characteristics and follow-up results

After excluding patients who reached the exclusion criteria and those lost to follow-up, 530 patients were included in the final study cohort. Data on all baseline variables were analyzed. The average age of this cohort was  $69 \pm 9$  years. Overall, 62.9% patients were male, 282 (41.8%) had a history of paroxysmal AF, 233 (43.9%) had a history of stroke, and 110 (20.7%) had a history of chronic heart failure. The median follow-up time was 12 months (range, 6–47 months). During the follow-up period, a total of 39 patients reached the MACE end points, 14 patients were readmitted to hospital for heart failure, three patients died of heart failure, and one patient died of stroke. Generally, patients who had MACE tended to be older ( $P = 0.017$ ), and had elevated NT-proBNP ( $P = 0.023$ ), hsCRP ( $P = 0.018$ ), and PASP ( $P = 0.005$ ) (Table 1).

### PASP and mid-term adverse events

ROC curve analysis showed that the optimal PASP cut-off value for the prediction of MACE occurrence was 39.5 mmHg, with a specificity of 68.9% and a sensitivity of 56.4%. Based on this cut-off value, the patients were divided into two groups: there were 175 (33.0%) patients in the  $\text{PASP} \geq 39.5$  mmHg group and 355 (67.0%) patients in the  $\text{PASP} < 39.5$  mmHg group. The Kaplan-Meier curves indicated that patients with  $\text{PASP} \geq 39.5$  mmHg had a higher risk of MACE ( $P = 0.007$ ) and heart failure hospitalization ( $P = 0.005$ ) compared to those with a  $\text{PASP} < 39.5$  mmHg (Figure 1). Variables identified through univariable screening, along with some conventional risk factors, were entered into three multivariable models. Multivariate Cox proportional hazards analysis showed that PASP was a predominant risk factor of MACE (hazard ratio = 2.337, 95% confidence interval, 1.207–4.526,  $P = 0.012$ ) and heart failure hospitalization (hazard ratio = 3.701, 95% confidence interval, 1.118–12.251,  $P = 0.032$ ) (Table 2).

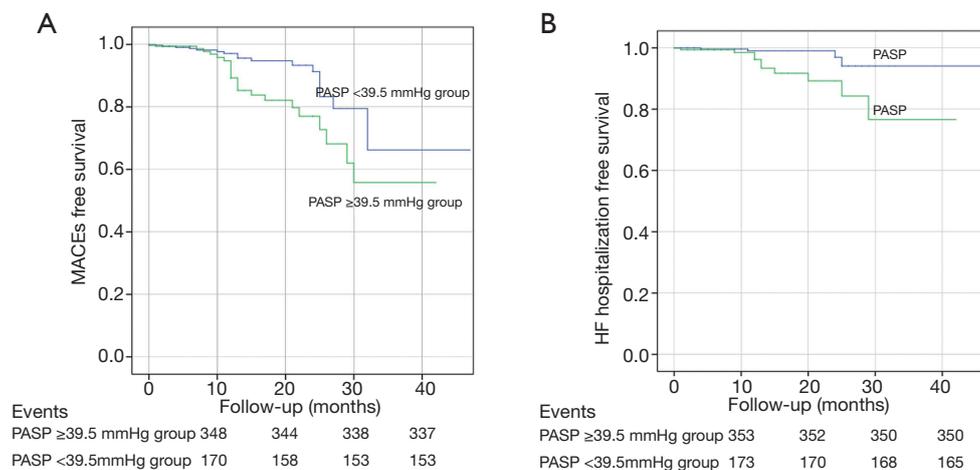
### The predictive value of models includes PASP

A risk stratification model was constructed to predict MACE and heart failure readmission. Figure 2A and Table 3 showed that adding the PASP cut-off to model 4 was slightly more predictive than model 4 alone in predicting MACE, with an AUC of 0.713, which is an increase of 0.043 ( $P = 0.025$ ). Figure 2B and Table 3 showed that adding the

**Table 1** Baseline characteristics between patients with and without MACE

Characteristics	No MACE (n=491)	MACE (n=39)	P value
<b>Demographics</b>			
Age (years)	68.5±8.8	72.0±8.9	0.017
Gender (male), n (%)	311 (63.3)	23 (60.0)	0.587
BMI (kg/m <sup>2</sup> )	24.9±3.3	24.4±3.3	0.439
<b>Medical history, n (%)</b>			
Hypertension	327 (66.6)	28 (71.8)	0.507
Diabetes	99 (25.3)	8 (20.5)	0.958
Paroxysmal atrial fibrillation	206 (42.0)	21 (53.8)	0.910
Ischaemic vascular disease	41 (8.4)	7 (18.0)	0.086
Coronary heart disease	60 (12.2)	4 (10.3)	0.915
Stroke	212 (43.2)	18 (46.2)	0.196
Chronic heart failure	464 (13.5)	10 (25.6)	0.434
NYHA class 2	90 (18.3)	7 (17.9)	0.097
NYHA class 3	13 (2.6)	4 (10.3)	
NYHA class 4	1 (0.2)	0 (0.0)	
<b>Laboratory measures</b>			
Troponin I (ng/mL)	0.011 (0.008–0.018)	0.012 (0.009–0.018)	0.318
NT-proBNP (pg/mL)	676.5 (340.0–1,290.0)	871.0 (559.0–1,411.0)	0.023
Creatinine (µmol/L)	81.0 (69.0–94.0)	84.0 (61.0–221.3)	0.789
eGFR (mL/min)	71.2±17.5	76.3±17.7	0.082
hsCRP (mg/L)	0.8 (0.3–2.2)	1.1 (0.7–3.7)	0.018
D-dimer (mg/L)	0.3 (0.2–0.6)	0.2 (0.2–0.7)	0.628
Hemoglobin (g/L)	137.0±16.4	136.7±13.3	0.896
<b>Echocardiographic parameters</b>			
LVEF (%)	64 [60–67]	66 [60–67]	0.153
LAD (mm)	48.5±8.4	49.7±6.5	0.427
PASP (mmHg)	35 [31–41]	41 [35–43]	0.005
<b>Postprocedure medication, n (%)</b>			
Warfarin	35 (7.1)	2 (5.1)	0.884
Rivaroxaban	287 (58.5)	18 (46.2)	0.135
Dabigatran	81 (16.9)	9 (23.1)	0.292
Aspirin	298 (60.7)	22 (56.4)	0.599
Class I antiarrhythmic drugs	25 (5.1)	3 (7.7)	0.744
Class III antiarrhythmic drugs	108 (22.0)	7 (17.9)	0.555
Beta-blockers	202 (41.1)	19 (58.9)	0.356

BMI, body mass index; NYHA, New York Heart Association; NT-pro BNP, N-terminal pro brain natriuretic peptide; eGFR, estimate glomerular filtration rate; hsCRP, high sensitive C-reactive protein; LAD, left atrial diameter; LVEF, left ventricular ejection fraction; PASP, pulmonary artery systolic pressure.



**Figure 1** Kaplan-Meier estimates of mid-term clinical outcomes according to the PASP cut-off. PASP, pulmonary artery systolic pressure.

**Table 2** Risk of mid-term events for PASP  $\ge 39.5$  mmHg

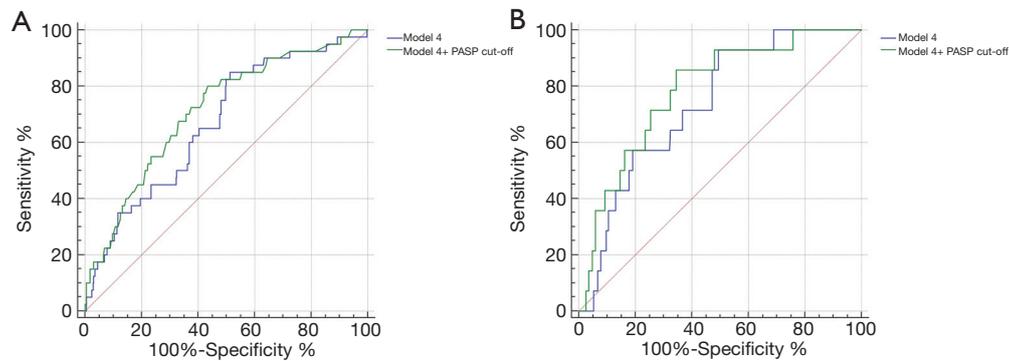
Variables	Adjusted hazard ratio (95% confidence interval)	P value
<b>MACE</b>		
Model 1	2.333 (0.127–4.400)	0.009
Model 2	2.792 (1.456–5.357)	0.002
Model 3	2.337 (1.207–4.526)	0.012
<b>Heart failure readmission</b>		
Model 1	4.583 (0.1436–14.631)	0.010
Model 2	6.251 (1.884–20.737)	0.030
Model 3	3.701 (1.118–12.251)	0.032

Model 1: adjusted for age and gender. Model 2: adjusted for age, gender, body mass index, history of diabetes, history of hypertension, history of paroxysmal atrial fibrillation, history of ischaemic vascular disease, history of coronary heart disease, history of stroke, and history of chronic heart failure. Model 3: adjusted for age, gender, body mass index, history of diabetes, history of hypertension, history of paroxysmal atrial fibrillation, history of ischaemic vascular disease, history of coronary heart disease, history of stroke, history of chronic heart failure, NYHA class, LVEF, LAD, troponin I, NT-proBNP, creatinine, hsCRP, D-dimer, and hemoglobin. PASP, pulmonary artery systolic pressure; NYHA, New York Heart Association; LVEF, left ventricular ejection fraction; LAD, left atrial diameter; hsCRP, high sensitive C-reactive protein.

PASP cut-off to model 4 was also slightly more predictive than model 4 alone in predicting heart failure readmission, with an AUC of 0.784, which is an increase of 0.0450 ( $P=0.090$ ).

#### *PASP and postprocedure pericardial effusion*

Fourteen (2.6%) patients had postprocedure pericardial effusion. Among them, 11 patients had postprocedure pericardial effusion during postprocedure hospitalization, while the other three patients had postprocedure pericardial effusion within 1 year after the procedure. Five patients received a conservative treatment, six patients underwent a pericardiocentesis, and three patients underwent surgical treatment. Fortunately, all of these patients recovered after their treatment. To investigate the associations between PASP and postprocedure pericardial effusion, univariate and logistic regression analyses were applied to the echocardiographic parameters and procedure characteristics. As shown in *Table 4*, PASP had a significant association with postprocedure pericardial effusion after multivariate adjustment [unadjusted hazard ratio =1.057, 95% confidence interval (1.002–1.115),  $P=0.041$ ; adjusted hazard ratio =1.061, 95% confidence interval (1.005–1.120),  $P=0.032$ ].



**Figure 2** Area under the receiver operating characteristic curve of models predicting clinical outcomes. (A) Area under the receiver operating characteristic curve of models predicting MACE. (B) Area under the receiver operating characteristic curve of models predicting heart failure readmission. MACE, major adverse cardiovascular event.

**Table 3** Area under the receiver operating characteristic curves of models predicting MACE and heart failure readmission

Variables	Model 4	Mode 4 + PASP cut-off	P value
MACE, AUC (95% confidence interval)	0.670 (0.628–0.710)	0.713 (0.673–0.752)	0.025
Heart failure readmission, AUC (95% confidence interval)	0.734 (0.694–0.772)	0.784 (0.746–0.818)	0.090

Model 4: adjusted for age, body mass index, history of stroke, NYHA class, NT-proBNP, eGFR, hsCRP and PASP.

**Table 4** Univariate and multivariate analyses of the association with postprocedure pericardial effusion

Characteristics	Univariate		Multivariate	
	Odds ratio (95% confidence interval)	P value	Odds ratio (95% confidence interval)	P value
<b>Demographics</b>				
Age	0.730 (0.220–2.428)	0.608	–	–
Gender	0.999 (0.937–1.065)	0.979	–	–
BMI	0.716 (0.996–1.113)	0.716	–	–
<b>Echocardiographic parameters</b>				
LVEF	1.082 (0.968–1.208)	0.164	1.086 (0.974–1.210)	0.138
LAD	0.967 (0.885–1.057)	0.456	–	–
PASP	1.057 (1.002–1.115)	0.041	1.061 (1.005–1.120)	0.032
<b>Procedure characteristics</b>				
LAA Lobe	2.144 (0.922–4.986)	0.076	–	–
LAA diameter	1.006 (0.890–1.136)	0.929	–	–
Landing	0.920 (0.790–1.072)	0.284	–	–
Length	0.936 (0.865–1.014)	0.104	–	–
Size	1.137 (0.913–1.417)	0.251	–	–
Device compression ratio	1.021 (0.942–1.106)	0.611	–	–

LAD, left atrial diameter; LVEF, left ventricular ejection fraction; PASP, pulmonary artery systolic pressure; LAA, Left atrial appendage.

## Discussion

The main findings of our current study were as follows: (I) for AF patients at high risk of stroke or bleeding who underwent LAAO, PASP  $\geq 39.5$  mmHg was an independent risk factor of composite outcomes of MACE or heart failure hospitalization during the mid-term follow-up period; (II) high PASP was a risk factor of postprocedure pericardial effusion in AF patients who underwent LAAO. Our study provides important information on the cardiovascular prognosis value of PASP in the high-risk AF cohort. Furthermore, to the best of our knowledge, our study was the first to demonstrate an association between pulmonary artery pressure and postprocedure pericardial effusion in LAAO.

Although invasive right heart catheterization is the gold standard for assessment of pulmonary artery pressure, PASP measured by echocardiography has shown a linear correlation compared to PASP detected via an invasive method (16-20). Therefore, PASP, which is routinely quantified in clinical echocardiography, is also validated as a useful parameter in clinical practice. In previous studies, the definition thresholds of PASP in the diagnosis of pulmonary artery hypertension vary (7,8,21,22); however, the PASP cut-off value of 39.5 mmHg in our study is close to 40 mmHg, which is the acknowledged cut-off in some classic studies (14,23). Overall, elevated PASP (PASP  $\geq 39.5$  mmHg) was present in a significant proportion (33.0%) of our high-risk AF cohort. This trend is approximate to the prevalence reported in the AF with heart failure with preserved left ventricular ejection fraction (HFpEF) cohort (24), but is higher than that of the AF cohort without a prior heart failure hospitalization (4).

Elevated pulmonary artery pressure, as a risk factor of heart failure hospitalization, all-cause mortality, and cardiovascular mortality, has been reported in many heart diseases. In the hypertrophic cardiomyopathy cohort, PASP was associated with increased stroke, systemic embolism, and all-cause mortality in both obstructive and non-obstructive circumstances (13,14). Elevated preoperative PASP was associated with worse postoperative LVEF and increased mortality in patients undergoing mitral valve surgery for severe mitral regurgitation (6,25). High PASP has also been consistently and independently prognostic of heart failure-related events in the HFpEF cohort (3,26). Interestingly, AF and HFpEF are highly prevalent diseases with similar risk factors (27), which might imply that the prediction of cardiovascular events by PASP could also be reasonable.

Our findings suggest that PASP was an independent prognostic factor of heart failure hospitalization and MACE in high-risk AF patients undergoing LAAO, further improving the cardiovascular risk models developed in our study. The pathophysiological connection between PASP and cardiovascular events might consist of the following factors: AF leads to haemodynamic perturbations in the left atrium, cumulatively resulting in the elevation of left atrial pressure (28-30). Sustained elevation of left atrial pressure may cause alveolar-capillary network destruction and pulmonary vascular remodeling, consequently leading to irreversible pulmonary arterial hypertension and right ventricular dysfunction or failure (31,32). Furthermore, pulmonary arterial hypertension represents the downstream effect of AF, and as such, was seen with increased onset frequency and associated with a more ominous prognosis in this setting.

Previous studies reported that age, obesity, and high stroke risk were related to pericardial effusion requiring intervention of LAAO (33,34). Nevertheless, the underlying correlation between echocardiographic parameters and pericardial effusion remains elusive. Several case reports (35-37) have documented incidents of pericardial tamponade due to device erosion into the pulmonary artery by tip of the strut or bards of device. However, it is unclear whether pulmonary artery anatomical structures are related to this complication.

Our findings indicate that PASP was a risk factor of postprocedure (including in-hospital and after discharge) pericardial effusion in AF patients with LAAO. Halkin *et al.* (38) analyzed cardiac-gated computed tomography (CCTA) of 100 AF patients, and found only 7% complete separation between the pulmonary artery and the left atrial appendage. Therefore, in most cases, left atrial appendage is anatomically adjacent to the pulmonary artery, and is in direct contact with the main pulmonary artery. A dilated pulmonary artery induced by pulmonary arterial hypertension may further increase the friction between the pulmonary artery and the occlusion device, which may account for subsequent perforation or reactive pericardial effusion.

This finding suggests an awareness of especially flexible operation and a relatively lower device compression ratio in patients with elevated PASP undergoing LAAO (39). Compared with the Amplatzer Cardiac Plug, the Watchman device with shorter barbs and smaller penetration depth is considered to be safer for patients with elevated PASP. In addition, CCTA may be important for preoperative

evaluation of left atrial appendage and its adjacent anatomical structure.

### Limitations

Our study has several limitations that should be noted. Firstly, this was a single-centre retrospective observational study, which may have resulted in certain measurement bias in the data collection and limitation in sample representativeness. Secondly, despite being confirmed via a linear correlation with a gold standard, PASP was still an estimated value derived from echocardiography, as opposed to a more accurate invasive right heart catheterization method. Thirdly, the number of patients who reached the endpoint in this study was relatively small. Therefore, risk factors of cardiac death and all-cause mortality could not be analyzed in this study. Furthermore, when assessing the discriminatory ability of the regression model, we have to reduce the risk factors in model 3 in case of over-fitting, and come up with model 4 according to overall consideration of our data analysis and relevant articles (3-6). It is possible that the risk factors may have been underestimated in multivariate analysis.

### Conclusions

For AF patients at high risk of stroke or bleeding who underwent LAAO, elevated PASP was an independent risk factor of the composite outcomes of MACE or heart failure hospitalization during mid-term follow-up. Furthermore, high PASP was also a risk factor of postprocedure pericardial effusion in AF patients who underwent LAAO.

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### Footnote

*Reporting Checklist:* The authors have completed the STROBE reporting checklist. Available at <https://dx.doi.org/10.21037/atm-21-3561>

*Data Sharing Statement:* Available at <https://dx.doi.org/10.21037/atm-21-3561>

*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <https://dx.doi.org/10.21037/atm-21-3561>). The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. All procedures performed in this study involving human participants were in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Institutional Review Board of Zhongshan Hospital, Fudan University, Shanghai, China (B2020-042) and individual consent for this retrospective analysis was waived.

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