The value of bi-exponential and non-Gaussian distribution diffusion-weighted imaging in the differentiation of recurrent soft tissue neoplasms and post-surgical changes

Peian Hu¹*, Shengjian Zhang²,³, Zhengrong Zhou²,³

¹Department of Radiology, Children's Hospital of Fudan University, Fudan University, Shanghai, China; ²Department of Radiology, Fudan University Shanghai Cancer Center, Shanghai, China; ³Department of Oncology, Shanghai Medical College, Fudan University, Shanghai, China

Contributions: (I) Conception and design: All authors; (II) Administrative support: Z Zhou; (III) Provision of study materials or patients: P Hu, Z Zhou; (IV) Collection and assembly of data: P Hu, S Zhang; (V) Data analysis and interpretation: All authors; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

Correspondence to: Zhengrong Zhou, MD, PhD. Department of Radiology, Fudan University Shanghai Cancer Center, Department of Oncology, Shanghai Medical College, Fudan University, No. 270, Dongan Road, Shanghai 200032, China. Email: zhouzr_16@126.com.

Background: Many researches focused on the quantitative mono-exponential diffusion-weighted imaging (DWI) in the assessment of soft tissue neoplasms (STN), but few focused on the value of bi-exponential and non-Gaussian DWI in the application of Recurrent Soft Tissue Neoplasms (RSTN). This study aimed to explore the feasibility of bi-exponential decay and non-Gaussian distribution DWI in the differentiation of RSTN and Post-Surgery Changes (PSC), and compared with mono-exponential DWI.

Methods: The clinical, mono-exponential, bi-exponential [intravoxel incoherent motion (IVIM)] and non-Gaussian [diffusion kurtosis imaging (DKI)] DWI imaging of a cohort of 27 patients [15 RSTN (22 masses), and 12 PSC (12 lesions)] with 34 masses, from Nov 01 2017 to Sep 30 2018, were reviewed. The differences of apparent diffusion coefficient (ADC), true diffusion coefficient (D), pseudodiffusion coefficient (D*), perfusion fraction (f), mean diffusivity (MD), and mean kurtosis (MK) values were compared between RSTN and PSC groups. The mono-, bi-exponential, and non-Gaussian distribution based predictive models for RSTN and PSC were built and compared. ROC curves were generated and compared by the DeLong test.

Results: Intra-class correlation coefficient (ICC) of all IVIM/DKI parameters was high (≥0.841). There were significant differences in ADC, D, f, MD, and MK values between RSTN and PSC, but no difference in D* value. The ADC_IVIM, D, f and MD values of RSTN were lower than those of PSC, but with higher MK value. The ADC_IVIM and D values did better than f value in differentiating these two groups (P<0.05). While there was no significant difference in AUCs among ADC_DKI, MD, and MK values. Also, no significant difference was detected in AUCs between bi-exponential and mono-exponential (P=0.38), or between mono-exponential and non-Gaussian distribution based prediction models (P=0.09).

Conclusions: ADC, D, f, MD, and MK values can be used in the differentiation of RSTN and PSC.

Keywords: Diffusion-weighted imaging (DWI); diffusion kurtosis imaging (DKI); soft tissue neoplasms (STN); recurrence

doi: 10.21037/atm-20-2025
View this article at: http://dx.doi.org/10.21037/atm-20-2025

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Introduction

Recurrence of soft tissue neoplasms (STN) is commonly seen in daily practice (1), especially in sarcomas and some intermediate STN. About 1/3 sarcomas will recur (2). The average 5-year survival rate is 52–60% (1,3). The probability of resection declines dramatically along with the times of recurrences (2). There is only a 10% chance of resection for the third time recurrence. Therefore, it is pivotal to detect RSTN early and non-invasively.

The value of conventional MR in the assessment of STN was well-established (4-8). Conventional MR mainly focused on the T1 and T2 relaxation differences, and morphologic/anatomic appraisal. The diagnostic specificity was not high. A series of changes after surgery (scars, hematoma, inflammatory granuloma, and fibrosis), were also difficult to distinguish from recurrence (9). Lee et al. (10) found that conventional MR features based nomograms can predict the probability of malignancy. Inflammation or post-operative fibrosis may mimic a nodule or mass. Functional MR can serve as a useful complement for differentiation (11). Combined with conventional MR, and diffusion-weighted imaging (DWI) imaging with apparent diffusion coefficient (ADC) mapping, a specificity of 97% can be reached for benign and malignant differentiation.

Intravoxel incoherent motion (IVIM) was first reported by Le Bihan et al. (12), by employing a bi-exponential decay model to depict the water molecules diffusion phenomenon (13-18). The ADC value was affected by microcirculation (capillary network) at lower b-values ($\leq 200$ s/mm$^2$). The pseudodiffusion coefficient ($D^*$) value was named to assess the diffusion induced by microvascular perfusion, and it was thought much higher than the true diffusion coefficient ($D$) value (13). $D^*$ and perfusion fraction ($f$) values were correlated well with microvessel density of colorectal cancer animal models, and IVIM parameters were also correlated with cerebral blood volume in gliomas (13,19,20).

Diffusion kurtosis imaging (DKI) was derived from diffusion tensor imaging (DTI) (21-23). Water molecules' diffusion didn’t always abide Gaussian distribution, for the impediment of cell membranes and compartments. Non-Gaussian diffusion was notable at high b value (13). DKI was employed to describe non-Gaussian distribution (24-27). Kurtosis, as a dimensionless metric, was employed to quantify the degree of non-Gaussian diffusion and characterize tumor's heterogeneity.

We intended to explore the feasibility of IVIM and DKI in the differentiation of recurrent soft tissue neoplasms (RSTN) and post-surgery changes (PSC), and compare them with mono-exponential decay diffusion-weighted imaging (DWI). Mono- exponential, bi-exponential, and non-Gaussian distribution based predictive models for differentiation of RSTN and PSC were also built and compared. We present the following article in accordance with the STARD 2015 reporting checklist (available at http://dx.doi.org/10.21037/atm-20-2025).

Methods

Study population and clinical data

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the institutional ethics board of Fudan University Shanghai Cancer Center and informed consent of this prospective study was waived. Totally, the clinical, IVIM and DKI imaging of a cohort of 27 patients [15 RSTN (22 masses), and 12 PSC (12 lesions)] with 34 masses, from Nov 01 2017 to Sep 30 2018, were reviewed.

Inclusion criteria: (I) all patients with complete clinical history; (II) all the masses were histologically-proven by surgery or fine-needle aspiration biopsy. Sixteen cases were excluded for unproven by histology. Twenty-seven cases (34 masses) were histologically-proven and included. They were divided into RSTN and PSC groups, 15 cases (22 masses) were recurrences and 12 cases (12 lesions) were PSC.

Their clinical data were reviewed, including the age of onset, gender, locations (head and neck region, trunk, and extremity), and histology of primary tumors.

The scan parameters of conventional MR and DWI

All the patients underwent MR scans with a 3T-superconducting system (Siemens MAGNETOM Skyra). Axial TSE T1WI and enhanced T1WI were performed with the following parameters: TR 230 ms, TE 2.5 ms, FA 60, matrix 240*350, number of excitation 2, slice thickness 5 mm, intersection gap 1 mm, FOV 350*350 mm (in-plane resolution 1.46*1 mm), and the scanning time was 1:40 (min: sec). Axial and coronal TSE or FS TSE were performed with the following parameters: TR 7,100 ms, TE 100–110 ms, ETL 6, matrix 240*350, number of excitation 2, slice thickness 5 mm, intersection gap 1 mm, FOV
350 mm*350 mm (in-plane resolution 1.46*1 mm), the scanning time was 1:35 (min: sec).

On the same geometry of FOV 350*350 mm, matrix 256*256 (in-plane resolution 1.37*1.37 mm), slice thickness 5 mm, intersection gap 1 mm, IVIM and DKI were performed pre-intravenous injection of Gd-DTPA (0.1 mmol/kg, bolus at a flow rate 2–3 mL/s) with the following parameters. The IVIM (SS-SE-EPI): TR 5,700 ms, TE 81 ms. Nine b values were exploited (0, 20, 40, 60, 100, 150, 200, 500 and 800 s/mm$^2$), and the scanning time was 4:35 (min:sec). The DKI (SS-SE-EPI): TR 8,500 ms, TE 74 ms. Five b values were exploited (0, 100, 700, 1,400 and 2,100 s/mm$^2$), and the scanning time was 4:30 (min: sec).

MR Body Diffusion Toolbox (www.siemens.com/syngo.via-frontier) was used for post-processing. Noise reduction and distortion correction of DWI was done. Mono-exponential and bi-exponential decay curves were generated respectively, by employing nine b values (0, 20, 40, 60, 100, 150, 200, 500 and 800 s/mm$^2$). And mono-exponential and non-Gaussian distribution models were employed to generate decay curves, by employing five b values (0, 100, 700, 1,400 and 2,100 s/mm$^2$).

The region of interest (ROI) was drawn manually on the greatest axial slice as larger as possible to cover the whole slice by a radiologist with 10 years experiences on MR imaging interpretation, and the conventional T1WI/T2WI/enhanced T1WI were referenced to avoid the infections of internal necrosis and partial volume effect. The quantitative values of IVIM (ADC_IVIM, D, D*, f), and DKI (ADC_DKI, MK, and MD) of the ROIs were generated and measured. The ADC_IVIM value was measured on ADC mapping that was generated by using the nine b values. And ADC_DKI value was measured on ADC mapping that was generated by using the five b values. These values were documented. The ROIs were drawn twice at a one-month interval. The average values of the two times were calculated and recorded.

**Statistical analysis**

SPSS 20.0 and MedCalc software were employed for data analysis. Kolmogorov-Smirnov test was used for the normality test. The intra-class correlation coefficient (ICC) was employed for repeatability of IVIM (ADC_IVIM, D, D*, f) and DKI (ADC_DKI, MK, and MD) values. Independent student’ t-tests or Mann-Whitney U tests were used to compare differences between recurrence and PSC (P<0.05). Receiver characteristic operator (ROC) curves were generated, and the cut-off values were determined by ROC analysis (MedCalc software). Logistic regression was employed to build predictive models. DeLong test was employed to compare different ROC curves, the level of a was set at 0.05 divided by the number of comparisons (P<0.05/3) (28).

**Results**

**Clinical data**

The gender ratio (female: male) was 16:11. The age ranged from 26 to 82 years old, and the median age was 58 years old. Eleven cases arose in extremities, 13 in the trunk (3 in retroperitoneum), and 3 in the head and neck region.

There were 15 histologically proven RSTN with 22 masses. Three liposarcomas (2 dedifferentiated, 1 myxoid), 3 spindle cell sarcomas, 3 fibrosarcomas, 2 synovial sarcomas, 1 malignant peripheral never sheath tumor, 1 epithelioid sarcoma, 1 pleomorphic undifferentiated sarcoma, and 1 angiosarcoma were enrolled. Five of them were found with metastases, 4 in the lung (1 coexisted with liver metastasis), and 1 in the bone.

There were 12 histologically proven PSC. Their histology subtypes of primary tumors were synovial sarcoma (n=4), myxoid liposarcoma (n=2), fibrosarcoma (n=2), epithelioid sarcoma (n=1), pleomorphic undifferentiated sarcoma (n=1), alveolar soft part sarcoma (n=1) and undifferentiated sarcoma (n=1).

**The comparisons of DWI and IVIM, DWI, and DKI parameters between recurrences and PSC**

The repeatability of DWI/IVIM/DKI parameters was high. The ICCs were all $\geq 0.841$.

Compared with the mono-exponential decay model, bi-exponential and non-Gaussian distribution decay models fit better with measured values (Figures 1,2).

The ADC_IVIM, D, D*, and f values of recurrences overlapped those of PSC. The ADC_IVIM and D* values were higher than the D value. There were significant differences between recurrence and PSC in ADC_IVIM, D, and f values (P=1*10$^{-4}$, 1.2*10$^{-4}$, 0.036 respectively) (Table 1). The ADC_IVIM [(1,077.13±211.97) μm$^2$/s], D [(1,020.6±20.63) μm$^2$/s] and f [(97.99±7.996)%] values of recurrence were lower than those of PSC [(1,933.6±323.21)]
μm$^2$/s, (1,831.36±317.35) μm$^2$/s and (143.87±38.35)‰]. However, there wasn’t significant difference between D* values of two groups (Table 1).

The AUC of ADC_IVIM and D values were 0.921 [95% confidence interval (CI): 0.772–0.986] and 0.925 (95% CI: 0.777–0.987) in differentiation and were higher than that of f value (0.714, 95% CI: 0.531–0.857, Delong test, P<0.0167) (Figure 3). Nevertheless, there was no significant difference in AUC between ADC and D values (Delong test, P=0.731) (Table 2).

The cut-off values of ADC_IVIM, D, and f values in differentiation were ≤1,424.85 μm$^2$/s, ≤1,274.25 μm$^2$/s, <98.3‰ respectively (Table 2).

There were significant differences in ADC_DKI, MD, and MK values between these two groups. The ADC_DKI and MD values of recurrence [(848.82±48.73) μm$^2$/s, (1,331.14±394.62) μm$^2$/s] were lower than those of PSC [(1,369.54±690.86) μm$^2$/s, P=1×10^{-4}; (2,253.69±596.57) μm$^2$/s, P=4×10^{-4}], but the MK values of recurrence [(855.92±263.55)×10^{-3}] was higher than that of PSC [(587.65±724.62)×10^{-3}, P=0.006].

The cut-off values of ADC_DKI, MD, and MK were ≤1,040.95 μm$^2$/s, ≤1,779 μm$^2$/s, and >635×10^{-3} respectively (Table 3).

The AUC of ADC_DKI, MD and MK in differentiation were 0.877 (95% CI: 0.716–0.965), 0.913 (95% CI: 0.761–0.982) and 0.853 (95% CI: 0.687–0.952) respectively, without significant differences (Figure 4).
The comparisons of AUC between mono- and bi-exponential, and mono-exponential and non-Gaussian distribution based predictive models

Logistic regression was employed to build predictive models, using the values with significant statistical differences generated by IVIM (D and f values) and mono-exponential (ADC_IVIM) respectively. The AUC of bi-exponential based predictive model (0.933, 95% CI: 0.788–0.990) for RSTN and PSC differentiation was slightly higher than that of mono-exponential based predictive model (0.921, 95% CI: 0.772–0.986), but without significant difference (Figure 5A). The sensitivity and specificity were 95.45% and 83.33% respectively for mono-exponential decay, and 100% and 83.33% respectively for bi-exponential decay based predictive model.

Logistic regression was employed to build predictive models, using the values with significant statistical differences generated by non-Gaussian distribution (MD and MK values) and mon-exponential (ADC_DKI) respectively. The AUC of mono-exponential decay based predictive model (0.877, 95% CI: 0.716–0.965) was slightly higher than that of the non-Gaussian distribution based predictive model (0.766, 95% CI: 0.586–0.895), but without significant difference (Figure 5B). The sensitivity and specificity were 80.95% and 91.67% respectively for mono-exponential decay, 57.14%, and 91.67% for bi-exponential decay based predictive model.

Discussion

The quantitative parameters of recurrence and PSC demonstrated great repeatability (29). The ADC, D, f, MD,
In this group, most lesions occurred in the trunk (48%) and extremities (41%). Synovial sarcoma (22%) and liposarcoma (19%) were the common types of our group.

Diffusion-weighted imaging depicted the movement of water molecules at the microscopic level, and the interpretation of the DWI evolved from the mono-exponential decay to the bi-exponential decay and non-Gaussian distribution model (5,9,12,23-25). Mono-exponential decay DWI has been used for the characterization of recurrence and PSC by other researchers (9,11,30). Similar to their findings, there were significant differences in ADC values between recurrence and PSC, although the ADC value of recurrence and PSC can be overlapped. Qi et al. found that the ADC, D, and f values of benign lymph node were higher than those of malignant ones, and the specificity and accuracy were improved remarkably when combining the D and f values (15). The ADC value was affected remarkably by microvascular perfusion at lower b value ($\leq 200 \text{ s/mm}^2$). To explore the microvessel perfusion of RSTN and PSC, 7 of 9 b values we exploited were lower than 200 s/mm$^2$. We found that the bi-exponential decay model fitted better with measured values than the mono-exponential model, additionally, it can provide more quantitative values for differentiation. D and f values can be used in differentiation. The D value of recurrence was lower that of PSC, we speculated it may due to their higher cellular density. Although the AUC of D value was slightly higher than that of ADC value, without significant difference. We also found that the f value of recurrence was smaller than that of PSC (non-tumor tissue). Although its diagnostic performance was not as high as that of D value, f value can serve as another valuable quantitative

<table>
<thead>
<tr>
<th>Models</th>
<th>Parameters</th>
<th>PSC (mean ± SD)</th>
<th>Recurrence</th>
<th>Statistical result</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>DWI (0-800)</td>
<td>ADC_IVIM</td>
<td>1,933.6±323.21</td>
<td>1,077.13±211.97</td>
<td>Mann-Whitney U tests</td>
<td>$1 \times 10^{-4}$</td>
</tr>
<tr>
<td>IVIM</td>
<td>D</td>
<td>1,831.36±317.35</td>
<td>1,020.6±20.63</td>
<td>Mann-Whitney U tests</td>
<td>$1.2 \times 10^{-4}$</td>
</tr>
<tr>
<td></td>
<td>f</td>
<td>143.87±38.35</td>
<td>97.99±7.996</td>
<td>Mann-Whitney U tests</td>
<td>0.036</td>
</tr>
<tr>
<td></td>
<td>D*</td>
<td>183.63±35.70</td>
<td>142.39±42.39</td>
<td>Independent student’ t-tests</td>
<td>0.115</td>
</tr>
<tr>
<td>DWI (0–2,100)</td>
<td>ADC_DKI</td>
<td>1,369.54±690.86</td>
<td>848.82±48.73</td>
<td>Mann-Whitney U tests</td>
<td>$1 \times 10^{-4}$</td>
</tr>
<tr>
<td>DKI</td>
<td>MD</td>
<td>2,253.69±596.57</td>
<td>1,331.14±394.62</td>
<td>Mann-Whitney U tests</td>
<td>$4 \times 10^{-4}$</td>
</tr>
<tr>
<td></td>
<td>MK</td>
<td>587.65±724.62</td>
<td>856.92±263.55</td>
<td>Independent student’ t-tests</td>
<td>0.006</td>
</tr>
</tbody>
</table>

DWI, diffusion weighted imaging; IVIM, intravoxel incoherent motion; DKI, diffusion kurtosis imaging; PSC, post-surgery changes; ADC, apparent diffusion coefficient; D, true diffusion coefficient; D*, pseudodiffusion coefficient; f, perfusion fraction; MD, mean diffusivity; MK, mean kurtosis. Unit of ADC, D, MD values: $\mu$m$^2$/s, unit of D* value: $100 \mu$m$^2$/s, unit of f value: ‰; unit of MK value: $10^{-3}$.
feature for differentiation.

DKI was employed to depict the non-Gaussian distribution of water molecules (21,22). The reported b value that was employed sometimes exceeds 3,000 s/mm$^2$ and can be as high as 20,000 s/mm$^2$. K value, as a dimensionless value, can quantitatively describe the degree of non-Gaussian distribution. DKI could provide more subtle information than DTI (21,22), which was used in brain tumors, Parkinson’s disease, and breast tumors (22,23,31,32). We used five b values to depict the curve of non-Gaussian distribution. Three of them were higher than 1,000 s/mm$^2$. We found that ADC, MD, and MK values were helpful in the differentiation of RSTN and PSC. The ADC and MD values of recurrence were lower than those of PSC, but it had higher MK values. We speculated two main reasons for these results. One reason was the heterogeneity of tumor pathology types included, and the other was that recurrences of STN had more complicated microstructure, and showed notable heterogeneity. The AUC of ADC, MD, and MK values in differentiation were 0.877, 0.913, and 0.853 respectively, and there were no significant differences among these three values.

Table 2 The AUC, cut-off values, sensitivity, specificity and accuracy of ADC, D and f values

<table>
<thead>
<tr>
<th>Parameter</th>
<th>AUC (95% CI)</th>
<th>Cut-off value</th>
<th>Sensitivity</th>
<th>Specificity</th>
<th>Accuracy</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADC_IVIM</td>
<td>0.921 (0.772–0.986)</td>
<td>1,424.85</td>
<td>95.45%</td>
<td>83.33%</td>
<td>91.24%</td>
</tr>
<tr>
<td>D</td>
<td>0.925 (0.777–0.987)</td>
<td>1,274.25</td>
<td>90.48%</td>
<td>91.67%</td>
<td>90.91%</td>
</tr>
<tr>
<td>f</td>
<td>0.714 (0.531–0.857)</td>
<td>98.3‰</td>
<td>66.67%</td>
<td>75.00%</td>
<td>70%</td>
</tr>
</tbody>
</table>

Unit of ADC and D values: μm$^2$/s, unit of f value: %. AUC, area under curve; ADC, apparent diffusion coefficient; D, true diffusion coefficient; f, perfusion fraction.

Table 3 The AUC, cut-off values, sensitivity, specificity and accuracy of ADC, MD and MK values

<table>
<thead>
<tr>
<th>Parameters</th>
<th>AUC (95% CI)</th>
<th>Cut-off value</th>
<th>Sensitivity</th>
<th>Specificity</th>
<th>Accuracy</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADC_DKI</td>
<td>0.877 (0.716–0.965)</td>
<td>1,040.95</td>
<td>80.95%</td>
<td>91.67%</td>
<td>84.85%</td>
</tr>
<tr>
<td>MD</td>
<td>0.913 (0.761–0.982)</td>
<td>1,779</td>
<td>95.24%</td>
<td>83.33%</td>
<td>90.91%</td>
</tr>
<tr>
<td>MK</td>
<td>0.85 (0.687–0.952)</td>
<td>635×10$^{-3}$</td>
<td>90.48%</td>
<td>83.33%</td>
<td>87.88%</td>
</tr>
</tbody>
</table>

Unit of ADC and MD values: μm$^2$/s, unit of MK value: 10$^{-3}$. AUC, area under curve; ADC, apparent diffusion coefficient; MD, mean diffusivity; MK, mean kurtosis.

Figure 4 The comparisons of AUC of ADC (0.877, 95% CI: 0.716–0.965), MD (0.913, 95% CI: 0.761–0.982) and MK (0.853, 95% CI: 0.687–0.952) values, with no significant differences among these three values.

Del et al. (11) found that sensitivity of 100% and specificity of 97% can be obtained, combining the conventional and dynamic contrast-enhanced (DCE) MR imaging. The differential performance also can be improved when combined with the conventional and DWI with the ADC map. We constructed and compared logistic regression models based on mono-exponential and bi-exponential decay, and mono-exponential decay and non-Gaussian distribution. There were no statistically significant differences in AUCs between mono-exponential (0.933, 95% CI: 0.788–0.990) and bi-exponential decay (0.921, 95% CI: 0.772–0.986).
95% CI: 0.772–0.986), and mono-exponential decay (0.877, 95% CI: 0.716–0.965) and non-Gaussian distribution (0.766, 95% CI: 0.586–0.895). Unlike in literature (11), the sensitivity of and specificity of these models were all high, although this was on basis of a relatively small sample size. The final diagnosis of RSTN still relied on surgical or fine-needle biopsy pathology.

IVIM and DKI worked in different b-values, and they reflected different tissue properties (12,13,15,22,23). The D* and f values of IVIM reflected the status of microvascular perfusion and diffusion. Whereas the k value was an indicator of evaluation of diffusion with non-Gaussian distribution and indirectly reflected the heterogeneity. In this research, we did not compare the differences in the values of these two methods.

Several limitations should be mentioned. Foremost, the sample size was small. Secondly, we drew ROIs on the greatest axial slice (with most tumor pixels). Although we measured twice at a one-month interval and documented the mean value, the selection bias cannot be avoided. Lastly, the PSC was complex, including hematoma, inflammatory granuloma, scar tissue, and fibrosis and so on, the differences between these changes and recurrence should be explored further.

**Conclusions**

IVIM and DKI both can serve useful techniques in differentiating recurrences from PSC. ADC, D, f, MD, and MK values can be used in differentiation.

**Acknowledgments**

**Funding:** This research was supported in part by grants from the Science and Technology Council of Shanghai (grant No. 15ZR1408000, grant No. 18. No. 12140901302, and grant No. 18140901200).

**Footnote**

**Reporting Checklist:** The authors have completed the STARD 2015 reporting checklist. Available at [http://dx.doi.org/10.21037/atm-20-2025](http://dx.doi.org/10.21037/atm-20-2025)

**Data Sharing Statement:** Available at [http://dx.doi.org/10.21037/atm-20-2025](http://dx.doi.org/10.21037/atm-20-2025)

**Peer Review File:** Available at [http://dx.doi.org/10.21037/atm-20-2025](http://dx.doi.org/10.21037/atm-20-2025)
Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at http://dx.doi.org/10.21037/atm-20-2025). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the institutional ethics board of Fudan University Shanghai Cancer Center (No.: 1310128-1) and informed consent of this prospective study was waived.

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