



Bile microbiota: new insights into biliary complications in liver transplant recipients

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Background: Biliary complications represent a major problem associated with liver transplantation. This report represents the first study to use high-throughput 16S ribosomal RNA (rRNA) gene sequencing to assess bile microbiota within bile samples of liver transplant recipients with biliary complications. Our goal in this report was to identify the species and abundance of microbes and examine the potential for microbial involvement of bile in liver transplantation patients with biliary complications.

Methods: Liver transplant recipients treated at our center over the period from September 2015 to June 2017 were enrolled in the study. Patients satisfying the inclusion criteria were divided into two groups, control (N=13) and symptom (N=10). Sequencing of 16s rDNA was then performed on bile samples from both groups.

Results: The main bacterial phyla of bile samples in the symptom group included *Proteobacteria* (55.19%), *Firmicutes* (32.36%), *Actinobacteria* (10.24%) and *Bacteroidetes* (1.23%) and the main bacterial genera were *Pseudomonas* (23.31%), *Klebsiella* (18.42%), *Lactococcus* (9.61%), *Rhodococcus* (9.59%) and *Rhizobium* (5.08%). *Proteobacteria* and *Staphylococcus* were enriched in the symptom group (P<0.05), whereas *Firmicutes* (P<0.05) and *Enterococcus* (P<0.01) were enriched in the control group. Pathways involved as determined with use of the Kyoto Encyclopedia of Genes and Genomes (KEGG), revealed that metabolism pathways of glyoxylate and dicarboxylate, porphyrin and chlorophyll, arginine and proline, glycine, serine and threonine, as well as the bacterial secretion system were all enriched in bile samples from the symptom group (P<0.05).

Conclusions: Clear differences exist in microbial species distribution in bile samples from the symptom versus control group. The species and pathways enriched in bile samples within the symptom group may be involved in the pathogenesis of biliary complication after liver transplantation.

Keywords: Liver transplantation (LT); biliary complication; bile microbiota

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Introduction

Biliary complications represent a major problem in patients post liver transplantation, with an incidence of 10–40% (1,2). This condition can lead to liver failure or even death and has a mortality rate ranging from 8–15% (3). Biliary infection is a frequent cause of biliary complications in liver transplant recipients (4,5). Results from previous studies have shown that bacterial and fungal pathogens can be detected in specimens of bile or bile ducts in patients with biliary complications after liver transplantation (6). Accordingly, an investigation into the microbial species and mechanisms of pathogenic microorganisms of bile in liver transplant recipients with biliary complications would provide new and important insights into the basis for these complications.

As culture-dependent methods are insensitive and inadequate to study the entire microbial community, high-throughput sequencing technology has proved to be a more effective means for investigating microbial diversity over the past decade. While variations in gut microbiota during the perioperative period in liver transplant recipients have been studied. Surgery and the application of postoperative antibiotics and immunosuppressive agents lead to intestinal microbial environment changes (7). No such information exists on bile microbiota of liver transplant recipients.

In this study, metagenomic sequencing technology was used to explore the species and abundance of microbes in the bile of patients with biliary complications after liver transplantation as compared with microbes in a matched control group of liver transplant patients without biliary complications. The findings from this study have the potential of providing new insights into the pathogenesis, as well as the diagnosis and treatment, of biliary complications after liver transplantation.

Methods

Study population

The patients enrolled in the study were liver transplant recipients treated at our center over the period from September 2015 to June 2017. The inclusion criteria consisted of post-liver transplantation patients ranging in age from 18–65 years that had signed informed consent forms. Exclusion criteria included: (I) clinical and pathological diagnosis of acute or chronic rejection, (II) imaging results showing biliary anastomotic stenosis post-liver transplantation, (III) clinical and pathological diagnosis

of primary disease recurrence (virus hepatitis or tumor), (IV) invasive systemic infection and (V) antibiotic use within the prior one-month period.

This study was approved by ethics committee in Beijing Friendship Hospital. Written informed consent was obtained from participants. No organs from executed prisoners were used in this study.

Definition of the patient groups

Control group

The control group consisted of the following patients: (I) Laboratory exams showing normal liver function and ultrasound showing normal blood flow and bile duct of graft, (II) T-tube reserved during their surgery and (III) Bile duct radiography showing no stenosis or expansion.

Symptom group

The symptom group consisted of the following patients: (I) laboratory exams showing abnormal liver function, with fever and acute cholangitis, (II) imaging results showing biliary stricture and stage expansion, (III) bile duct radiography showing multiple stenosis or stage expansion with a filling defect involving the left and right hepatic ducts and (IV) T-tube or percutaneous transhepatic cholangiography drainage (PTCD) tube reserved.

Sample collection

In the symptom group, bile was collected by PTCD or T-tube after sterilization. In the control group, bile was collected aseptically from the T-tube. Samples were then stored at –80 °C until use for DNA extraction.

DNA extraction and quality control

Samples were treated using a mini-bead beater (Biospec Products, Bartlesville, OK, USA) and DNA was extracted using a QIAamp DNA Mini Kit (Qiagen, Hilden, Germany). The DNA extracts were stored at –80 °C until use. The concentration of DNA was determined spectrophotometrically while agar gel electrophoresis was used to assess DNA integrity.

PCR amplification

The V3–V4 region of the bacterial 16S rRNA gene was amplified by PCR (95 °C for 3 min, followed by 27 cycles at 95 °C for 30 s, 55 °C for 30 s and 72 °C for 45 s, and a final extension at 72 °C for 10 min) using the primers 338F

5'-barcode-ACTCCTACGGGAGGCAGCAG)-3' and 806R 5'-GGACTACHVGGGTWTCTAAT-3'. PCR was performed in triplicate in a 20- μ L mixture containing 4 μ L 5 \times FastPfu Buffer, 2 μ L 2.5 mM dNTPs, 0.8 μ L of each primer (5 μ M), 0.4 μ L FastPfu Polymerase, and 10 ng template DNA.

Illumina MiSeq sequencing

Amplicons were extracted from 2% agarose gels, purified using the AxyPrep DNA Gel Extraction Kit (Axygen Biosciences, Union City, CA, USA) and quantified using QuantiFluor-ST (Promega, Madison, WI, USA). Purified amplicons were pooled in equimolar amounts and paired-end sequenced (2 \times 250) on an Illumina MiSeq platform according to standard protocols. The raw reads were deposited in the NCBI Sequence Read Archive (SRA) database.

Processing of sequencing data

Raw fastq files were demultiplexed, quality-filtered by Trimmomatic and merged by FLASH with the following criteria: (I) reads were truncated at any site receiving an average quality score <20 over a 50 base pairs (bp) sliding window, (II) primers were exactly matched allowing 2 nucleotide mismatching, while reads containing ambiguous bases were removed and (III) sequences whose overlap was longer than 10 bp were merged according to their overlap sequence.

Operational taxonomy units (OTUs) were clustered with a 97% similarity cutoff using UPARSE (version 7.1, <http://drive5.com/uparse/>) and chimeric sequences were identified and removed using UCHIME. The taxonomy of each 16S rRNA gene sequence was analyzed by RDP Classifier (<http://rdp.cme.msu.edu/>) against the Silva (SSU115) 16S rRNA database using a confidence threshold of 70%.

Statistical analysis

Species diversity was evaluated with use of Shannon, Simpson, Chao, and Ace indices. Calculations of principal coordinates analysis (PCoA) were performed by Hellinger distance, Bray-curtis distance and (un) weighted UniFrac distance. LDA Effect Size (LEfse) analysis was performed to identify species in which relative abundance was significantly different among the various populations. The Kyoto Encyclopedia of Genes and Genomes (KEGG)

pathway was evaluated. A Wilcoxon rank-sum test was performed to evaluate differences between the two groups in alpha diversity, principle coordinates, and community difference analysis. A $P < 0.05$ was required for results to be considered as statistically significant.

Results

Patients

Patients of the control group (n=13) ranged in age from 39 to 62 years (mean \pm SD =51.4 \pm 8.1 years) and consisted of 10 males and 3 females. These patients included 4 cases of hepatitis B cirrhosis, 4 cases of alcoholic cirrhosis, 2 cases of hepatitis C cirrhosis, 1 case of primary biliary cirrhosis (PBC), 1 case of primary sclerosing cholangitis (PSC) and 1 case of drug-induced liver injury. One bile specimen was collected from each patient, resulting in a total of 13 bile samples for analysis.

Patients in the symptom group (n=10) ranged in age from 18 to 68 years (mean \pm SD =44.7 \pm 14.6 years) and consisted of 8 males and 2 females. These patients included 3 cases of hepatitis B cirrhosis, 2 cases of alcoholic cirrhosis, 2 cases of hepatocellular carcinoma, 1 case of cryptogenic cirrhosis, 1 case of PBC, 1 case of idiopathic portal hypertension. A total of ten bile samples were collected.

No statistically significant differences were present between the two groups with regard to age, sex, body mass index (BMI), warm ischemia time, cold ischemia time, Model for End-stage Liver Disease (MELD) or trough level of FK506 ($P > 0.05$; *Table 1*). Two patients received living donor liver transplantation (LDLT) while the remaining 21 patients received liver transplantation from donation after cardiac death donors (DCD). All the patients received ABO blood group compatible liver transplantation. All liver transplantation recipients received an immunosuppressive regimen of tacrolimus (FK506) + methylprednisolone + mycophenolate mofetil (MMF). Methylprednisolone was gradually withdrawn within three months after liver transplantation.

OTU cluster and Rarefaction curve

In order to reduce the impact of low-abundant OTU on subsequent statistical analysis, OTU with sequence numbers ≥ 5 in at least three samples and an OTU with a total number of retained sequences ≥ 20 were retained. The sequence data included ten bacterial phyla, subdivided into

Table 1 Baseline characteristics of patients

Group	Sex (M/F)	Age (y)	BMI (kg/m ²)	Warm ischemia time (min)	Cold ischemia time (min)	MELD	Trough level of FK506 (ng/mL)
Control group (n=13)	10/3	51.4±8.1	23.4±2.0	5.5±0.5	361.5±64.9	16.5±4.5	8.3±4.5
Symptom group (n=10)	8/2	44.7±14.6	22.2±1.3	4.5±1.9	302±148.9	16.4±8.9	4.9±3.3

BMI, body mass index; MELD, Model for End-stage Liver Disease.

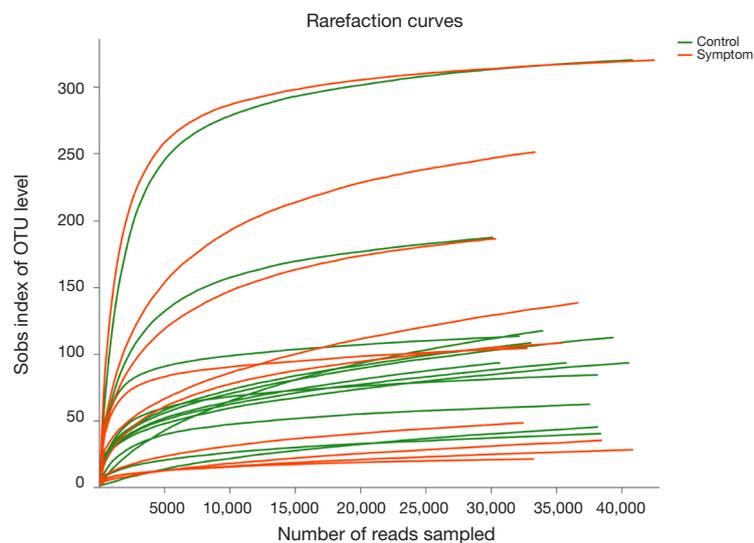


Figure 1 Rarefaction curves. The curves tended to be flat indicating that the reads number was relatively large enough to reflect species richness. OTU, operational taxonomy unit.

65 families, 110 genera and 172 OTUs. According to the number of reads sampled and sobs index of OTU level, rarefaction curve of the two groups were plotted (Figure 1). In our study, the curves tended to be flat, which indicated that the reads number was relatively large enough to reflect species richness.

Distribution and abundance of bile microbiota

At the phyla level, *Firmicutes* was the main phylum in the control group, with its abundance being 63.08%. The other major phyla in controls were: *Proteobacteria* (26.07%), *Actinobacteria* (9.6%) and *Bacteroidetes* (0.43%). In the symptom group, the main phyla were: *Proteobacteria* (55.19%), *Firmicutes* (32.36%), *Actinobacteria* (10.24%) and *Bacteroidetes* (1.23%) (Figure 2).

At the genera level, *Bacillus* was the most common genus of the control group (26.15%). The other major genera

in controls were: *Enterococcus* (24.88%), *Stenotrophomonas* (10.74%), *Rhodococcus* (8.58%), *Lactobacillus* (8.29%), *Klebsiella* (6.17%) and *Enterobacter* (3.48%). The most common genus of the symptom group was *Pseudomonas* (23.31%), with other major genera being: *Klebsiella* (18.42%), *Lactococcus* (9.61%), *Rhodococcus* (9.59%), *Rhizobium* (5.08%), *Bacillus* (4.77%) and *Streptococcus* (4.76%) (Figure 3).

Alpha diversity analysis

Alpha diversity provides a measure of species diversity within a sample. Shannon's and Simpson's are common indices for measures of species diversity including species richness and evenness. The greater the Shannon index, the higher the diversity, while an opposite relationship comprises the Simpson index. Chao and Ace are indices used to access species richness. The greater the value in these indices, the higher the diversity and richness of the

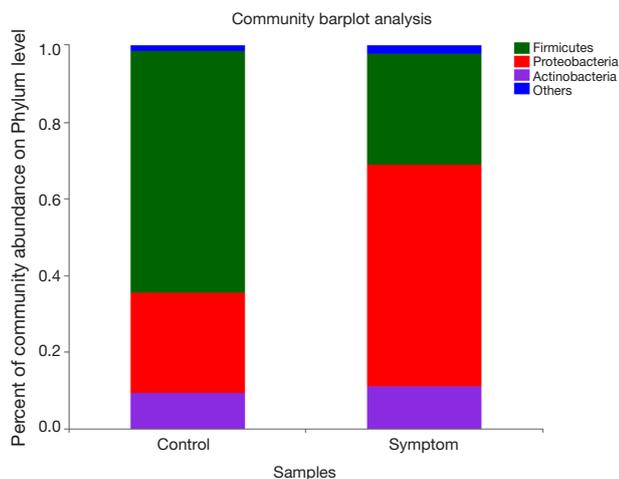


Figure 2 Distribution and abundance of bile microbiota in phylum level (others:abundance <1%). The main phyla in the symptom group were *Proteobacteria*, *Firmicutes*, *Actinobacteria* and *Bacteroidetes*.

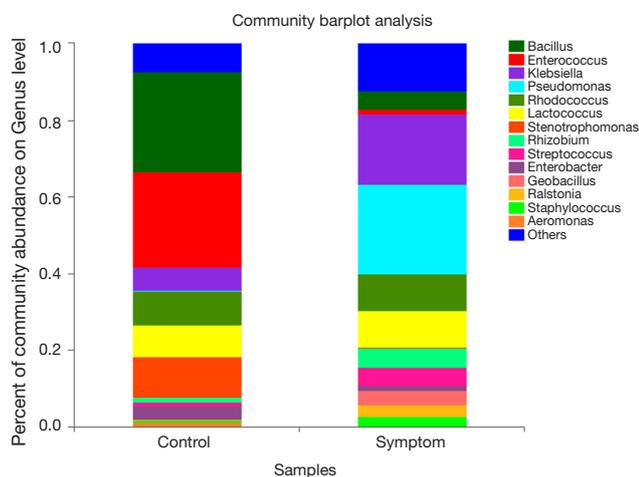


Figure 3 Distribution and abundance of bile microbiota at the genus level. The most common genera of the symptom group were *Pseudomonas*, *Klebsiella*, *Lactococcus*, *Rhodococcus*, *Rhizobium*, *Bacillus* and *Streptococcus*.

microbial community. No statistically significant differences were obtained among all cohorts ($P > 0.05$) as measured with these commonly used Shannon, Simpson, Chao and Ace indices (Figure 4).

Analysis of diversity differences: PCoA

PCoA is based on the OTU classified taxon data and

involves analyzing the intrinsic composition of the microbial structure between the two groups. In our study, a number of methods were used to calculate the distance matrix, including the Hellinger, Bray-curtis and (un) weighted UniFrac. PCoA analysis of the four distances revealed that the two groups could not be completely discriminated (Figure 5).

Community difference analysis: bacterial differences at phyla and genera levels

At the phyla level, statistically significant differences were obtained between the control and symptom groups for *Firmicutes* and *Proteobacteria*. *Firmicutes* was found to be enriched in the control group ($P = 0.03775$) and *Proteobacteria* in the symptom group ($P = 0.0143$) (Figure 6).

At the genera level, statistically significant differences were present between the control and symptom groups for *Enterococcus* and *Staphylococcus*. While *Enterococcus* was found to be enriched in the control group ($P = 0.001732$), *Staphylococcus* was enriched in the symptom group ($P = 0.04842$) (Figure 7).

LEfse analysis

LEfSe analysis was used to detect differential abundances of species between the control and symptom groups. We identified differential distributions of species using the default cut-offs (LDA score > 2.0 ; P value < 0.05). The results showed that the relative abundances of *Bacilli*, *Firmicutes*, *Enterococcaceae*, *Enterococcus*, *Planctomycetacia*, *Brevibacillus* and *Micrococcus* were significantly decreased, while those of *Proteobacteria*, *Bosea*, *Staphylococcus*, *Trichococcus*, *Ruminococcaceae-UCG-002*, *Epilithonimonas*, *Fusicatenibacter*, *Subdoligranulum*, *Lachnospiraceae-UCG-004*, *Pelomonas*, *Blautia*, *Ruminococcus-1*, *Roseburia* and *Empedobacter* significantly increased in the symptom group as compared with the control group (Figures 8,9).

Differences in KEGG pathway levels

The composition of the OTU sequence was transformed into KEGG orthology to analyze differences in metabolic pathways between the control and symptom groups. We identified 5 significantly enriched and 5 depleted pathways in bile samples in the symptom versus control group.

Bile samples in the symptom group were significantly enriched in pathways related to glyoxylate and dicarboxylate

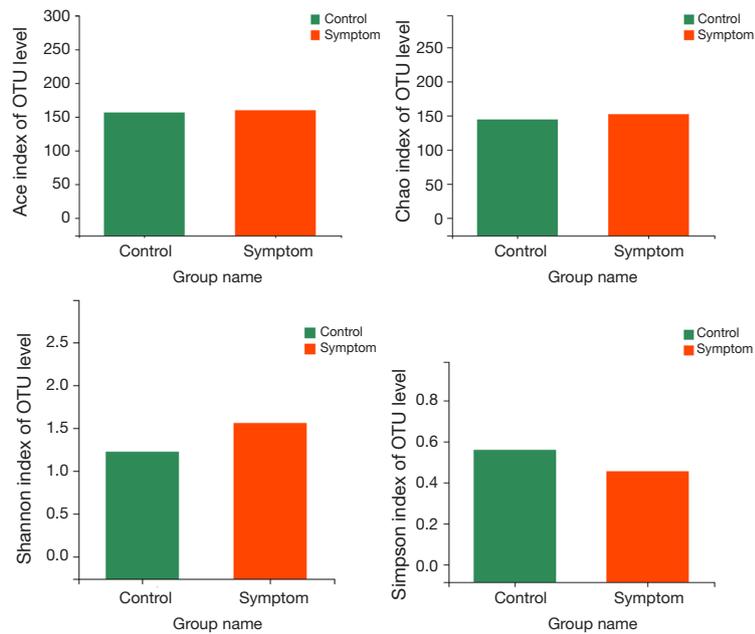


Figure 4 Alpha diversity analysis. No statistically significant differences were obtained among all cohorts ($P>0.05$) as measured with these common indices including the Ace, Chao, Shannon and Simpson. OTU, operational taxonomy unit.

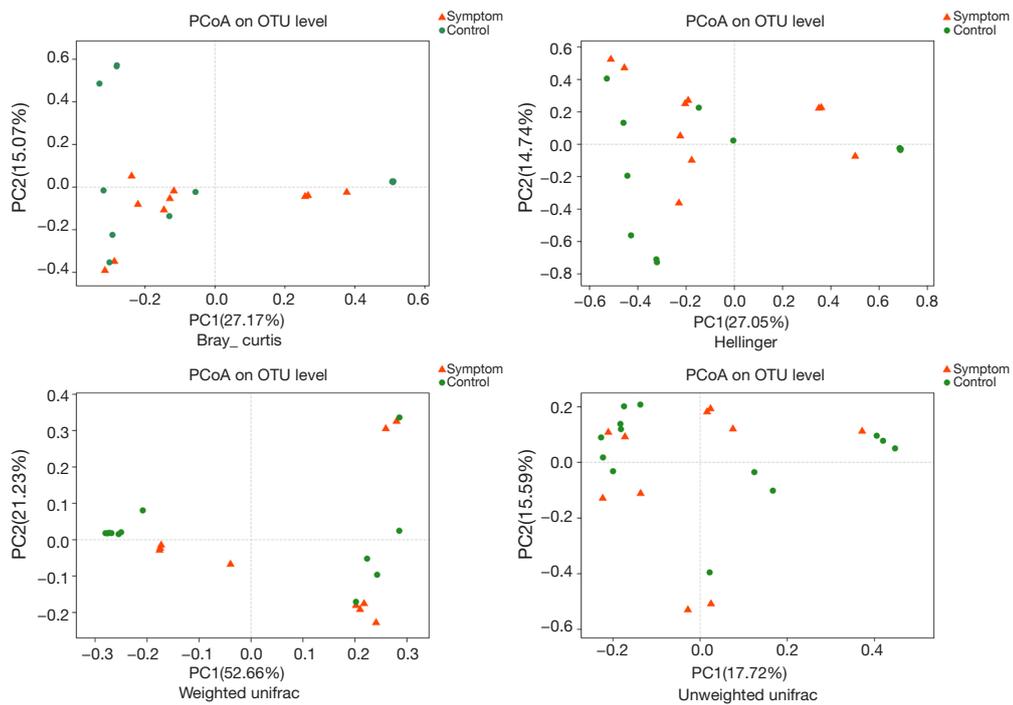


Figure 5 Results of a PCoA based on OTU composition. Each point represents a sample. Groups are color coded and distance between the two points indicates differences in community composition, with shorter distances denoting smaller differences. PCoA, principal coordinates analysis; OTU, operational taxonomy unit.

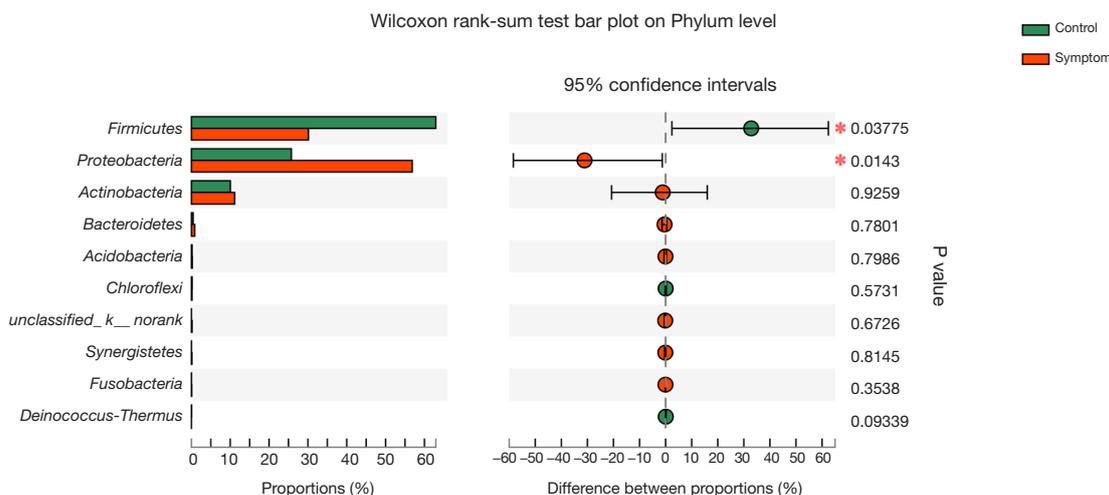


Figure 6 Community difference analysis at the phylum level between the control and symptom groups. *Firmicutes* was enriched in the control group, whereas *Proteobacteria* was enriched in the symptom group. *, $P < 0.05$.

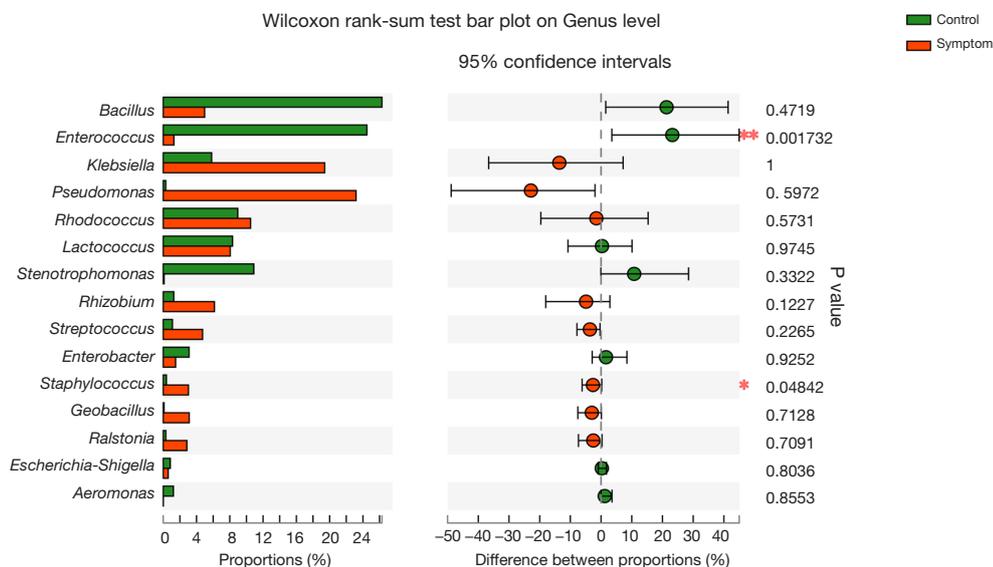


Figure 7 Community difference analysis at the genus level between the control and symptom groups. *Enterococcus* was enriched in the control group, whereas *Staphylococcus* was enriched in the symptom group. *, $P < 0.05$; **, $P < 0.01$.

metabolism, porphyrin and chlorophyll metabolism, arginine and proline metabolism, glycine, serine and threonine metabolism and bacterial secretion system. Bile samples depleted in pathways were related to glycolysis/gluconeogenesis, pyruvate metabolism, aminoacyl-tRNA biosynthesis, amino sugar and nucleotide sugar metabolism

and citrate cycle (TCA cycle) (Figure 10).

Discussion

To the best of our knowledge, this study represents the first to apply high-throughput sequencing technology

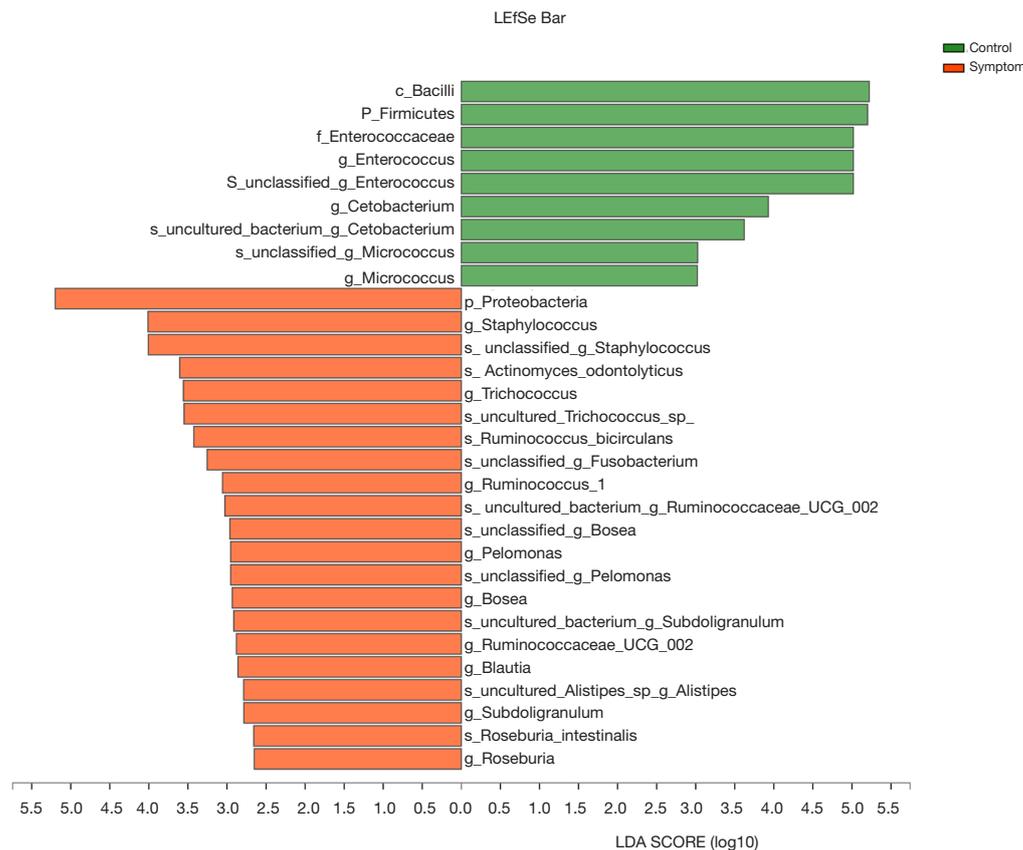


Figure 8 LEfSe analysis of differential species abundance between the control and symptom groups. The LDA score is represented on the abscissa with higher scores indicating greater differences. The green and red horizontal bars represent species enrichment in the control and symptom groups, respectively.

for the study of biliary microbiota in patients with biliary complications after liver transplantation. With use of this technique, it was possible to examine the role of biliary microbes associated with the onset, occurrence and development of biliary complications following liver transplantation.

As bile acids present in the bile contain a certain amount of antimicrobial activity (8), the biliary tract of healthy people is generally considered to be a relatively sterile environment (9). However, in certain diseases or infectious conditions, bile acid secretion can be reduced (10). A few studies have used modern molecular methods to characterize the microbes present in bile, mainly in relation to various disease conditions (11,12). In our study, the main bacterial phyla in bile samples of the symptom group were *Proteobacteria*, *Firmicutes*, *Actinobacteria* and *Bacteroidetes*, while the main bacterial genera in this group were *Pseudomonas*, *Klebsiella*, *Lactococcus* and *Rhodococcus*. In

a study on bile microbiota in patients with PSC the most common phyla were *Bacteroidetes*, *Firmicutes*, *Proteobacteria*, *Fusobacteria* and *Actinobacteria*, and the most common genera were *Prevotella*, *Streptococcus*, *Veillonella*, *Fusobacterium* and *Haemophilus*. *Streptococcus* abundance was shown to be positively correlated with an increase in disease severity and it may play a pathogenic role in the progression of this condition (8).

Biliary complications, which are characterized by abnormal liver function, biliary stricture and obstruction, represent one of the major problems associated with liver transplantation (13,14). If treatments such as medications, endoscopy, interventional therapy are ineffective, these complications can eventually lead to liver failure and even death (15,16). Biliary stricture can be characterized as either anastomotic or non-anastomotic. The former is mainly related to surgical techniques or local ischemia. Therefore, in this study, patients with biliary anastomotic stricture after



Figure 9 A cladogram plot of differential species abundance between the control and symptom groups. The green and red areas represent species enrichment in the control and symptom groups, respectively.

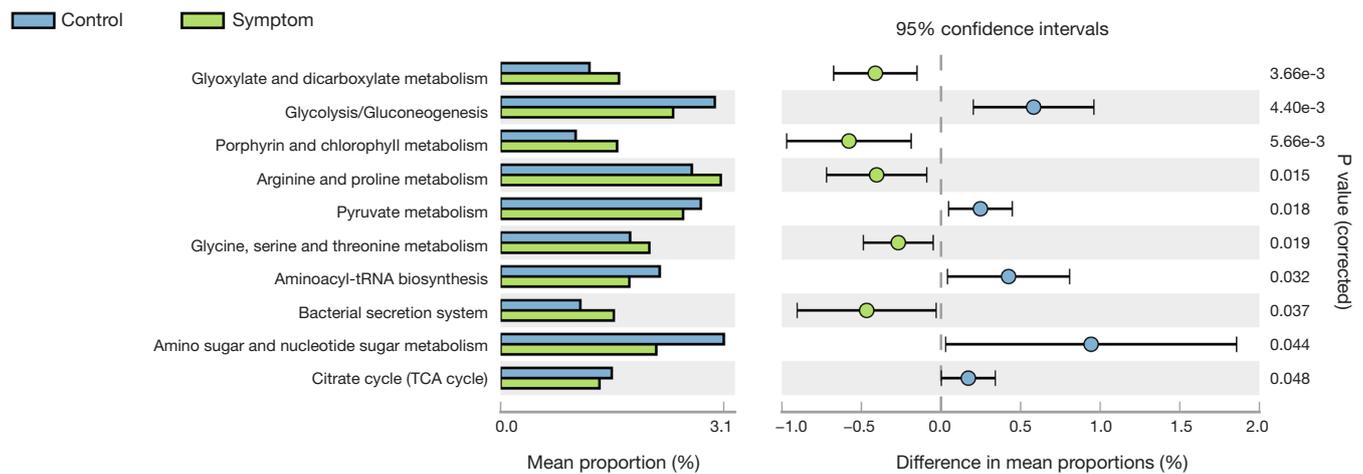


Figure 10 Differences in KEGG pathway levels between the control and symptom groups. KEGG, the Kyoto Encyclopedia of Genes and Genomes.

liver transplantation were not included. In a prospective study reported by Gotthardt (6), 213 patients with biliary complications after liver transplantation underwent endoscopic retrograde cholangio-pancreatography (ERCP), and bile samples were collected during the first endoscopic treatment. Bacteria or fungi were found in 180 patients, with 57% being Gram-positive and 24% Gram-negative bacterial isolates, and the main species obtained were *Enterococcus* and *Escherichia coli*. The results of this study suggest that infections of *Enterobacteria* and fungi are significantly associated with the clinical signs of cholangitis, a condition which significantly lowers survival rates in patients with biliary tract injury.

In our study, patients in the symptom group included those whose imaging results indicated the presence of the non-anastomotic biliary stricture, and the patients displayed clinical signs such as fever and cholangitis. At the phyla level, bile samples in the symptom group predominantly consisted of *Proteobacteria*, with this content being significantly greater than that observed in controls. *Pseudomonas* and *Klebsiella* were the dominant genus in the symptom group, but were not significantly different from that of the control group. Bile samples from patients in the symptom group were also assessed in bacterial cultures, with these cultures showing the presence of *Acinetobacter baumannii*, *radiation Rhizobium*, *Enterobacter cloacae*, *Klebsiella pneumoniae*, *Pseudomonas aeruginosa*, and *Chryseobacterium indologenes*. Among these, five species belong to *Proteobacteria*, suggesting that *Proteobacteria* may

play a significant role in the occurrence and development of biliary tract injury after liver transplantation.

Enterococcus, a bacterium that can grow in a bile-rich environment, is a normal flora of the human intestine and one of its probiotics. This bacterium can regulate the balance of intestinal flora and also possesses certain immune regulatory and anti-allergic effects (17). In our study, *Enterococcus* was found to be enriched in bile samples from the control group. The absence of enrichment in symptom patients may be due to changes in the bile microenvironment associated with biliary complications and a disruption of the inter-dependent balance of bile flora. In this way, the reduction in abundance of *Enterococcus* in biliary complication patients suggests that *Enterococcus* may play an important role in maintaining the stability and balance of bile microecology.

Staphylococcus, a conditional pathogen, belongs to the phylum *Firmicutes*, class *Bacilli*, order *Bacillales* and family *Staphylococcaceae*. Some strains of *Staphylococcus* can produce a variety of pathogenic factors such as exotoxin, enterotoxin, agglutination factor and bio film, which represent common bacterium of biliary infection. We found that *Staphylococcus* was enriched in the bile of patients with biliary complications from the symptom group. These findings suggest that this bacterium may contribute to the biliary injury in immunodeficient patients after liver transplantation.

There exist some salient differences in the metabolic pathways of bile samples between the two groups. However,

the 16s rRNA sequencing analysis is limited and predictive in the study of KEGG pathways. Whether these pathways are involved in the development and progression of biliary complications after liver transplantation will require further studies which can incorporate a combination of metagenomics and metabolomics.

There remain some limitations in this study. Notably, an increase in sample size and multicenter experiences will be required to corroborate these findings. In addition, combining genomics, metabolomics and transcriptomics will be necessary to achieve a more comprehensive and deep understanding of the pathogenesis of biliary complications after liver transplantation.

In summary, this study represents the first to apply high-throughput sequencing to study bile microbiota of patients with biliary complications after liver transplantation. Our results reveal that significant differences are present in species composition, diversity and differential species within bile samples from the control versus symptom groups. The species and pathways enriched in bile samples of the symptom group may be involved in the pathogenesis of biliary complications after liver transplantation. These findings provide new and important information for understanding the mechanism of biliary injury after liver transplantation.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was approved by ethics committee in Beijing Friendship Hospital (No. 2017-P2-060-02). Written informed consent was obtained from participants.

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