



# The influence of pemetrexed-based continuous maintenance therapy on survival of locally advanced and metastatic lung adenocarcinoma

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**Background:** Patients may receive delayed maintenance therapy (stopping interval over 21 days) due to multi factors in the real-life setting. This retrospective study aims to collect data of pemetrexed-based continuous maintenance therapy, evaluate the impact of prolonged interval periods on clinical outcomes.

**Methods:** A total of 168 previously untreated stage IIIB or IV lung adenocarcinoma patients received induction chemotherapy with pemetrexed-platinum (PP) with or without antiangiogenesis inhibitors (bevacizumab or rh-endostatin) every 3 weeks for 4–6 cycles. Among them, 112 patients who did not show progression after induction chemotherapy completion were enrolled.

**Results:** Seventy of the 112 patients received continuous maintenance therapy with pemetrexed with or without antiangiogenesis inhibitors until disease progression; 42 patients did not receive continuous maintenance therapy. Multivariate analysis revealed that only lack of maintenance therapy was independently associated with shorter progression-free survival (PFS) [HR, 4.516 (2.332–8.744),  $P < 0.001$ ]. Brain metastases [HR, 4.263 (1.499–12.127),  $P = 0.007$ ] and lack of maintenance therapy [HR, 4.304 (1.566–11.825),  $P = 0.005$ ] were independent adverse prognostic factors for overall survival (OS). In the maintenance group, most patients delayed continuous maintenance treatment and the median interval between each maintenance therapy cycle was 40 days (range, 21–77 days). The median number of maintenance therapy cycles was 4 (range, 1–26). The best objective response rate (ORR) was higher in the maintenance group than in the non-maintenance group (48.6% and 33.3%). During a median follow-up of 14.6 months, patients in the maintenance group achieved significantly longer PFS (11.5 *vs.* 6.8 months,  $P < 0.001$ ) and OS (40.1 *vs.* 18.0 months,  $P = 0.001$ ) compared with those in the non-maintenance group.

**Conclusions:** Extending maintenance intervals is feasible and continuous maintenance therapy could offer survival benefit in patients who did not show progression after first-line induction treatment for lung adenocarcinoma.

**Keywords:** Angiogenesis inhibitors; lung cancer; pemetrexed

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## Introduction

Lung cancer is the most common cancer type and is the leading cause of cancer-related death worldwide, even in China (1). The National Central Cancer Registry of China (NCCR) estimated a total of 733,300 new lung cancer cases and 610,200 lung cancer deaths in 2015 (1). Non-small cell lung cancer (NSCLC) accounts for more than 85% of new lung cancer cases, and approximately 60–70% of NSCLCs are adenocarcinomas, with most patients diagnosed with either locally advanced or metastatic disease (2).

Pemetrexed-based chemotherapy is effective and tolerable for advanced NSCLC with non-squamous histology (3,4). First-line chemotherapy with cisplatin-pemetrexed resulted in significantly longer overall survival (OS) than that with cisplatin-gemcitabine in patients with adenocarcinoma (12.6 *vs.* 10.9 months) and large-cell carcinoma (10.4 *vs.* 6.7 months) histologies (3). Advanced non-squamous NSCLC patients who do not show disease progression after induction chemotherapy also benefit from pemetrexed maintenance therapy. Switch or continuation maintenance therapy with pemetrexed showed superior progression-free survival (PFS) and OS in NSCLC patients than that with placebo (5,6).

Bevacizumab is a vascular endothelial growth factor antibody; the combination of bevacizumab and standard first-line platinum doublet treatment significantly improved survival of patients with non-squamous NSCLC in randomized phase III and phase IV trials (7–11). Moreover, the benefit of continuous maintenance therapy with bevacizumab after induction was observed on retrospective analysis of the phase 3 trial E4599 (12), phase IV trial Aries (13), and US community data (14). The phase III AVAPERL trial revealed that, compared to bevacizumab monotherapy, combination treatment with bevacizumab-pemetrexed in a maintenance setting resulted in improved PFS in patients with advanced non-squamous NSCLCs who did not show disease progression after first-line induction treatment with bevacizumab-cisplatin-pemetrexed (15).

The recombinant human endothelial inhibitor (Endostar, rh-endostatin), another antiangiogenic agent, was approved for NSCLC treatment in China in 2005. Rh-endostatin combined with chemotherapy improved the objective response rate (ORR) and time to progression in patients with advanced NSCLC, compared to chemotherapy alone (16). A trend of longer PFS was also observed in NSCLC patients receiving maintenance chemotherapy with rh-endostatin plus pemetrexed, compared to those receiving

maintenance pemetrexed monotherapy (17).

In real life, patients may not receive maintenance therapy or may receive delayed maintenance therapy (stopping interval >21 days) owing to many factors. In addition, the optimal time interval between each maintenance therapy cycle is unclear. Therefore, this study aimed to assess the influence of continuous maintenance therapy with pemetrexed with or without antiangiogenesis inhibitors on survival and to evaluate the impact of prolonged interval periods on clinical outcomes.

## Methods

### Patients

Patients diagnosed with lung adenocarcinoma between January 2015 and December 2017 at the Cancer Center, Union Hospital, Tongji Medical College, Huazhong University of Science and Technology, Wuhan City, Hubei Province, China, were screened. The inclusion criteria were as follows: (I) histologically or cytologically confirmed lung adenocarcinoma; (II) stage IIIB to IV disease; (III) Eastern Cooperative Oncology Group performance status (ECOG-PS) score of 0–2; and (IV) patients who received induction chemotherapy of pemetrexed-platinum (PP) with or without antiangiogenesis inhibitors (bevacizumab or rh-endostatin) every 3 weeks for 4–6 cycles and did not show progression after completion of induction chemotherapy. The exclusion criteria included: (I) squamous NSCLC, large-cell carcinoma, and other concurrent malignant tumors; (II) those who received first-line chemotherapy regimens other than PP; and (III) serious systemic diseases, such as heart failure or severe liver dysfunction. This was a retrospective observational study and patient information was collected from the hospital database. There was no research intervention for patients. This study was conducted in compliance with the Declaration of Helsinki and was approved by the hospital institutional review board (IORG No: IORG0003571).

### Treatment

In our center, first-line pemetrexed-based induction therapy was administered as pemetrexed (500 mg/m<sup>2</sup>, day 1), platinum [cisplatin 75 mg/m<sup>2</sup>, days 1–3 or carboplatin area under the curve (AUC) 5, day 1], with or without antiangiogenesis inhibitors (bevacizumab 7.5 mg/kg, day 1 or rh-endostatin 7.5 mg/m<sup>2</sup>/day, days 1–14) every 3 weeks

for 4–6 cycles. The initial dose was strictly based on the prescription information of each drug except for bevacizumab, for which the recommended dose is 15 mg/kg. A dose of 7.5 mg/kg was used considering the cost and comparability to the efficacy of the 15 mg/kg dose, as observed in the AVAIL Trial (8). Patients who achieved complete response (CR), partial response (PR), or had stable disease (SD) after induction were eligible for receiving pemetrexed (500 mg/m<sup>2</sup>, day 1) with or without antiangiogenesis inhibitors (bevacizumab 7.5 mg/kg, day 1 or rh-endostatin 7.5 mg/m<sup>2</sup>/day, days 1–14) as maintenance therapy. Maintenance therapy was continued until disease progression, development of unacceptable toxicity, or if the patient wished to discontinue treatment. The administered dosage could be adjusted at the physicians' discretion.

#### **Baseline and treatment assessments**

Collected study variables included age, sex, smoking history, ECOG score, disease stage, epidermal growth factor receptor (*EGFR*) mutation status, anaplastic lymphoma kinase (*ALK*) status, brain metastases, thoracic radiotherapy and number of induction chemotherapy cycles. The tumor response was evaluated according to the Response Evaluation Criteria in Solid Tumors, version 1.1. CR, PR, and SD were calculated as the best response from the start of induction chemotherapy.

#### **Statistical analysis**

The primary endpoints of this study were PFS and OS. PFS was measured from the first day of induction chemotherapy to the date of disease progression, recurrence, or death due to any cause. OS was measured from the first day of induction chemotherapy to the date of death or to the last follow-up. PFS and OS were calculated using the Kaplan-Meier method, and comparisons between groups were analyzed using log-rank tests with 95% confidence intervals (CIs). Clinical features were compared using the chi-square test for categorical variables. Independent prognostic factors for PFS and OS were evaluated by multivariate analysis using the Cox regression model. All tests of statistical significance were 2-sided, and a P value <0.05 was considered statistically significant. All analyses were performed using SPSS Statistics (version 24.0; IBM Corporation, Armonk, NY, USA).

## **Results**

### **Patient characteristics**

A total of 112 patients achieved disease control after induction chemotherapy with first-line PP with or without antiangiogenesis inhibitors (bevacizumab or rh-endostatin). Median age was 59 years (range, 29–75 years), and 70 patients (62.5%) were men. Among 112 patients, 102 (91.1%) had stage IV disease whereas others had stage IIIB disease. Most patients had ECOG-PS scores of 0–1. Twenty-one patients (18.8%) had stable brain metastases that were asymptomatic or were treated with irradiation. A total of 25% and 12.5% patients had *EGFR* mutations and *ALK* positivity, respectively. Among 112 patients, 70 received maintenance therapy (maintenance group) whereas 42 did not (non-maintenance group) owing to different factors. Comparison of the main clinical characteristics between the maintenance and non-maintenance groups is shown in *Table 1*. Except for disease stage and *EGFR* mutation status, other clinical features were well balanced between the groups.

### **Prognostic factors**

Clinical features were evaluated to determine their prognostic significance on survival. Univariate analysis showed that only lack of maintenance therapy was an adverse prognostic factor for PFS in all 112 patients (*Table 2*). Adverse prognostic factors for OS included the male sex, presence of brain metastases, and lack of maintenance therapy. Multivariate analysis revealed that only lack of maintenance therapy was independently associated with shorter PFS [HR, 4.516 (2.332–8.744), P<0.001]. Brain metastases [HR, 4.263 (1.499–12.127), P=0.007] and lack of maintenance therapy [HR, 4.304 (1.566–11.825), P=0.005] were independent adverse prognostic factors for OS.

### **Treatment outcomes**

Among the 112 patients, 71 received first-line PP and 41 received PP with antiangiogenesis inhibitors (bevacizumab or rh-endostatin) as induction chemotherapy. Most patients (68.8%) received 4 cycles of induction chemotherapy. Median number of maintenance therapy cycles was 4 (range, 1–26). The interval between each maintenance therapy cycle was >35 days in 67.1% (47/70) of patients

**Table 1** Clinical characteristics of patients

Characteristics	Maintenance therapy (n=70), n (%)	No maintenance therapy (n=42), n (%)	P
Sex			0.268
Male	41 (58.6)	29 (69.0)	
Female	29 (41.4)	13 (31.0)	
Age (years)			0.902
<65	56 (80.0)	34 (81.0)	
≥65	14 (20.0)	8 (19.0)	
Smoking status			0.920
Ever smoker	26 (37.1)	16 (38.1)	
Never smoker	44 (62.9)	26 (61.9)	
ECOG score			1.000
0–1	68 (97.1)	41 (97.6)	
2	2 (2.9)	1 (2.4)	
Disease stage			0.010
IIIB	2 (2.9)	8 (19.0)	
IV	68 (97.1)	34 (81.0)	
EGFR mutation status			0.044
Wild type	32 (45.7)	29 (69.0)	
Positive mutation	21 (30.0)	7 (16.7)	
Not examined	17 (24.3)	6 (14.3)	
ALK status			0.943
Negative	50 (71.4)	29 (69.0)	
Positive	9 (12.9)	5 (11.9)	
Not examined	11 (15.7)	8 (19.1)	
Brain metastases			0.288
Present	11 (15.7)	10 (23.8)	
Absent	59 (84.3)	32 (76.2)	
Thoracic radiotherapy			0.870
Yes	19 (27.1)	12 (28.6)	
No	51 (72.9)	30 (71.4)	
Number of induction chemotherapy cycles			
4	52 (74.3)	25 (59.5)	
5	5 (7.1)	5 (11.9)	
6	13 (18.6)	12 (28.6)	

**Table 1** (continued)**Table 1** (continued)

Characteristics	Maintenance therapy (n=70), n (%)	No maintenance therapy (n=42), n (%)	P
Best tumor response			0.115
CR	0 (0.0)	0 (0.0)	
PR	34 (48.6)	14 (33.3)	
SD	36 (51.4)	28 (66.7)	

ECOG, Eastern Cooperative Oncology Group; EGFR, epidermal growth factor receptor; ALK, anaplastic lymphoma kinase; CR, complete response; PR, partial response; SD, stable disease.

and median time interval between each maintenance therapy cycle was 40 days (range, 21–77 days, *Figure 1*). The best ORRs during the induction and maintenance period were 48.6% and 33.3% in the maintenance and non-maintenance groups, respectively. During a median follow-up of 14.6 months (range, 3.6–41.7 months), median PFS rates in the maintenance and non-maintenance groups were 11.5 months (95% CI: 9.8–13.2 months) and 6.8 months (95% CI: 5.4–8.2 months,  $P < 0.001$ ), respectively. The corresponding median OS rates were 40.1 months (95% CI: 22.5–57.7 months) and 18.0 months (95% CI: 10.4–25.6 months,  $P = 0.001$ ; *Figure 2*), respectively.

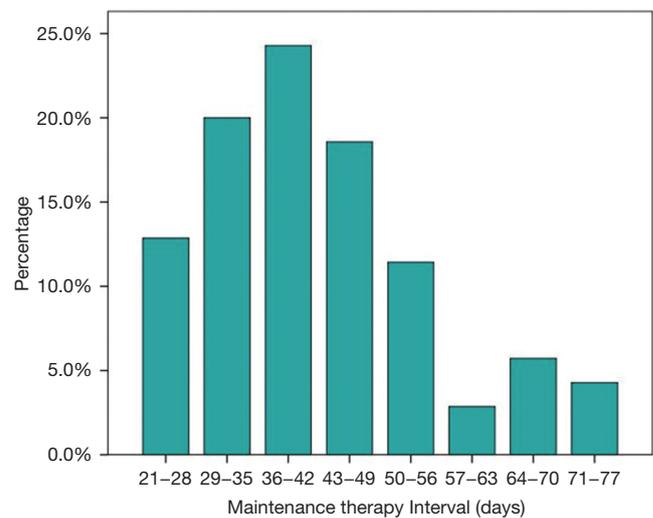
Subgroup analysis of the patients who with simple first-line PP (n=71) showed that those receiving first-line PP induction therapy followed by pemetrexed maintenance (n=40) achieved significantly longer PFS (11.2 *vs.* 6.8 months,  $P < 0.001$ ) and OS (32.9 *vs.* 18.0 months,  $P = 0.006$ ) compared with those patients without maintenance (n=31). And among patients receiving PP with antiangiogenesis induction therapy (n=41), pemetrexed with or without antiangiogenesis maintenance (n=30) also resulted in significantly longer PFS (13.4 *vs.* 7.0 months,  $P = 0.008$ ) and OS (40.7 *vs.* 31.3 months,  $P = 0.057$ ) compared with non-maintenance (n=11).

The interval between each maintenance therapy cycle ranged from 21 to 77 days. Receiver operating characteristic (ROC) curve analysis was used to determine the optimal cut-off value of maintenance therapy interval. The AUC was 0.562 ( $P = 0.406$ ), and no optimal maintenance therapy interval was found. Using the median interval time of 40 days as the cut-off, we found that maintenance therapy intervals of  $\leq 40$  and  $> 40$  days resulted in similar

**Table 2** Univariate analysis of prognostic factors in 112 patients

Factors	Median PFS (months)	P	Median OS (months)	P
Sex		0.858		0.024
Male	10.2		22.1	
Female	9.8		NA	
Age (years)		0.625		0.207
<65	10.2		31.3	
≥65	9.8		18.6	
Smoking status		0.755		0.234
Ever smoker	9.8		21.4	
Never smoker	10.2		29.8	
ECOG score		NA		NA
0–1	9.8		29.8	
2	NA		NA	
Disease stage		0.622		0.641
IIIB	8.2		18.0	
IV	9.8		29.8	
EGFR mutation status		0.991		0.056
Wild type	10.2		21.4	
Positive mutation	9.3		29.8	
ALK status		0.253		0.937
Negative	10.2		29.8	
Positive	7.4		40.1	
Brain metastases		0.069		0.009
Present	6.9		23.8	
Absent	10.2		40.1	
Thoracic radiotherapy		0.962		0.493
Yes	10.2		29.8	
No	9.8		24.8	
Maintenance therapy		<0.001		0.001
Yes	11.5		40.1	
No	6.8		18.0	

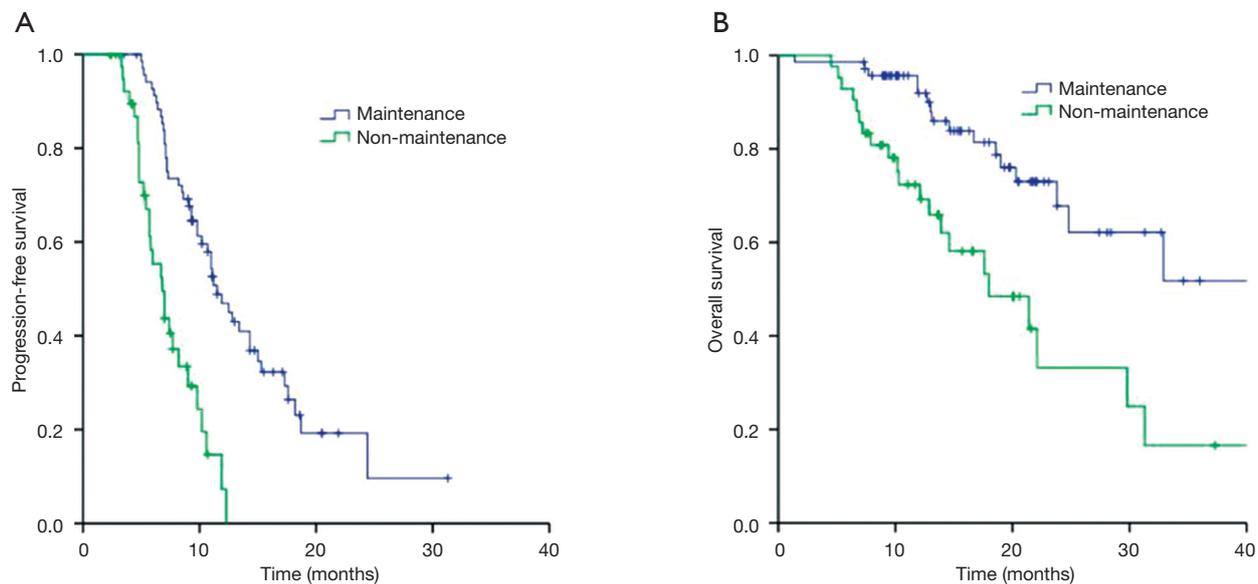
PFS, progression-free survival; OS, overall survival; ALK, anaplastic lymphoma kinase; ECOG, Eastern Cooperative Oncology Group; EGFR, epidermal growth factor receptor; NA, not available.

**Figure 1** Proportion of patients according to time interval between each maintenance therapy cycle (n=70).

PFS (11.0 vs. 12.5 months,  $P=0.807$ ) and OS (40.7 vs. 37.3 months,  $P=0.145$ ). Similar PFS and OS were also observed on using 35 or 42 days as the cut-off interval periods.

### Driver gene mutations

We also investigated the prognosis of patients with identified tumor driver genes including *EGFR* and *ALK*. Median PFS rates in patients with *EGFR* mutations (n=28) and in those with wild-type *EGFR* (n=61) were 9.3 months (95% CI: 6.7–12.0 months) and 10.2 months (95% CI: 8.4–12.0 months,  $P=0.991$ ), respectively; the corresponding median OS rates were 29.8 months (95% CI: 25.0–34.6 months) and 21.4 months (95% CI: 17.1–25.7 months,  $P=0.056$ ), respectively. Median PFS rates in patients with *ALK*-positive tumors (n=14) and in those with *ALK*-negative tumors (n=79) were 7.4 months (95% CI: 4.3–10.5 months) and 10.2 months (95% CI: 8.5–11.9 months,  $P=0.253$ ), respectively; the corresponding median OS rates were 40.1 months (95% CI: not applicable) and 29.8 months (95% CI: 21.0–38.6 months,  $P=0.937$ ), respectively. A higher number of patients received epidermal growth factor receptor-tyrosine kinase inhibitors (EGFR-TKIs) and other second-line therapies after disease progression in the maintenance group than in the non-maintenance group (Table 3).



**Figure 2** Patients in the maintenance group (n=70) achieved significantly longer PFS (A) and OS (B) compared with those in the non-maintenance group (n=42). OS, overall survival; PFS, progression-free survival.

**Table 3** Second-line therapy after disease progression

Therapy	Maintenance therapy (n=47), n (%)	No maintenance therapy (n=29), n (%)
EGFR-TKI	14 (29.8)	2 (6.9)
Crizotinib	3 (6.4)	1 (3.4)
Docetaxel-based therapy	15 (31.9)	9 (31.0)
Radiotherapy	3 (6.4)	3 (10.3)
Other treatment	6 (12.8)	4 (13.8)
No treatment	6 (12.8)	10 (34.5)

EGFR-TKI, epidermal growth factor receptor-tyrosine kinase inhibitor.

## Discussion

In this study, the median time interval between each maintenance therapy cycle was 40 days. Patients with maintenance therapy administered at prolonged intervals achieved significantly longer PFS and OS compared to those without maintenance therapy. The ORR was also higher in the maintenance group than in the non-maintenance group. Consistent with the results of other studies (6,15), this study showed that continuous maintenance therapy with pemetrexed with or without antiangiogenesis inhibitors was essential for survival benefit in patients with lung

adenocarcinomas who did not show disease progression after induction treatment.

In the current study, we only evaluated lung adenocarcinoma; the number of induction chemotherapy cycles was 4–6. Other studies have evaluated non-squamous NSCLCs, including lung adenocarcinoma, large-cell carcinoma, and/or bronchoalveolar tumors (6,15,18-24); the number of induction chemotherapy cycles in most studies was 4 (6,15,18,20-23). Most importantly, patients in all prospective studies received maintenance therapy every 21 days (6,15,18-23). In contrast, in this study, median interval time between each maintenance therapy cycle was 40 days. Nevertheless, despite the prolonged time interval between maintenance therapy cycles, the achieved efficacy was comparable to that obtained in previous studies (Table 4). Furthermore, similar PFS and OS were observed on using 35, 40 or 42 days as the cut-off interval periods in the maintenance group of this study.

The decision of receiving maintenance therapy usually rests with the patients and is mainly affected by their financial burden. In urban China, the average cost for lung cancer treatment is \$43,336 per patient, and the financial burden in the first year of lung cancer diagnosis accounts for 171% of the household annual income (25). On estimating the direct medical and non-medical expenditure, 77.6% of families were faced with unmanageable financial burdens owing to common

**Table 4** Efficacy of maintenance therapy with pemetrexed with or without antiangiogenesis inhibitors for non-small cell lung cancer

Reference	Pathology	Induction therapy	Cycles of induction	Maintenance therapy	Maintenance interval (days)	mPFS (months)	mOS (months)
This study	Adenocarcinoma	PEM + platinum +/- antiangiogenesis inhibitors	4–6	PEM +/- antiangiogenesis vs. Non-maintenance	40	11.5 vs. 6.8	40.1 vs. 18.0
(6)	Non-squamous NSCLC	PEM + DDP	4	PEM + BSC vs. Placebo + BSC	21	6.9 vs. 5.6	16.9 vs. 14.0
(17)	Adenocarcinoma	PEM + DDP +/- Endostar	4–6	PEM + Endostar vs. PEM	NA	13.7 vs. 8.2	36.0 vs. 29.0
(18)	Non-squamous NSCLC	PEM + DDP	4	PEM + BSC vs. BSC	21	6.2 vs. 6.0	15.4 vs. 16.4
(19)	Non-squamous NSCLC	PEM + CBP + Bev	6	PEM + Bev	21	7.8	14.1
(15,20)	Non-squamous NSCLC	PEM + DDP + Bev	4	PEM + Bev vs. Bev	21	10.2 vs. 6.6	19.8 vs. 15.9
(21)	Non-squamous NSCLC	PEM + CBP + Bev	4	PEM + Bev vs. PEM	21	11.5 vs. 7.3	NA
(22)	Non-squamous NSCLC	PEM + DDP + Bev	4	PEM + Bev	21	12.0	31.0
(23)	Non-squamous NSCLC	PEM + CBP	4	PEM	21	7.5	NA
(24)	Non-squamous NSCLC	Platinum-based therapy	4–6	PEM + Bev vs. PEM	NA	10.9 vs. 9.4	23.0 vs. 20.6

BSC, best supportive care; Bev, bevacizumab; CBP, carboplatin; DDP, cisplatin; NA, not available; NSCLC, non-small cell lung cancer; mOS, median overall survival; PEM, pemetrexed; mPFS, median progression-free survival.

cancers in China (26). Moreover, 40% of lung cancer patients had limited financial reserves, i.e., reserves sufficient for less than 2 months (27). Patients with limited financial reserves experienced significantly higher pain levels, greater symptom burdens, and poorer quality of life than patients with financial reserves for more than 12 months (27). Accordingly, considering the financial burden on patients with NSCLCs, a prolonged time interval between maintenance therapy cycles seems more cost-effective.

In the present study, some patients with *EGFR* mutation and *ALK*-positivity received first-line PP-based treatment because of the following reasons. First, the *EGFR* and *ALK* status were unknown before treatment because patients chose to receive chemotherapy instead of waiting for genetic testing results. Second, a few patients could not afford *EGFR*-TKI treatment, as it was not covered by medical insurance before 2017. Nevertheless, among patients who received first-line PP-based treatment in

this study, the median PFS was similar in patients with *EGFR* mutation and in those with wild-type *EGFR*. Even though *EGFR* mutation status was not similar between the maintenance and non-maintenance groups, it was not a prognostic factor for PFS. Univariate and multivariate analyses revealed that lack of maintenance therapy was the only adverse prognostic factor for PFS. However, median OS was prolonged in patients with *EGFR* mutation and *ALK*-positivity compared to patients with wild-type *EGFR* and *ALK*-negativity, although the difference was not significant. The rate of activation of *EGFR* mutations and number of patients who received second-line therapy after disease progression were higher in the maintenance group than in the non-maintenance group; this contributed to the long median OS observed in the maintenance group. Moreover, our results were similar to those obtained by Yoh *et al.* (28), who showed that 29% of patients missed second-line therapy after disease progression in the non-maintenance cohort, but only 18% of patients did not

receive second-line therapy in the maintenance cohort. In addition, multivariate analysis showed that brain metastases and lack of maintenance therapy were independent adverse prognostic factors for OS.

The current study is limited by the retrospective nature and small size of the patient cohort, which may lead to selection bias. Moreover, data of some clinical characteristics of the enrolled patients were not available. To evaluate the exact value of maintenance therapy, multivariable analysis was performed to adjust for prognostic factors in this study. Further studies with larger patient cohort are needed to confirm these findings.

## Conclusions

This study indicated that pemetrexed-based continuous maintenance therapy significantly improved PFS and OS in patients with lung adenocarcinomas. Lack of maintenance therapy was an independent adverse prognostic factor for both PFS and OS. The wild-type *EGFR* and *ALK*-negativity were not adverse prognostic factors for PFS in patients receiving first-line PP-based treatment. Thus, although the time interval between maintenance therapy is prolonged in clinical practice for many patients, delayed maintenance therapy still offers survival benefit in locally advanced and metastatic lung adenocarcinoma and seems to achieve similar efficacy to routine interval.

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## Footnote

**Conflicts of Interest:** The authors have no conflicts of interest to declare.

**Ethical Statement:** The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was approved by the hospital institutional review board (IORG No: IORG0003571). Patient data was retrieved from hospital medical record system, so an informed consent form was not required. The patient's personal data has been secured.

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