



# Development and validation of a prognostic nomogram for patients with triple-negative breast cancer with histology of infiltrating duct carcinoma

Na Jing<sup>1#</sup>, Ming-Wei Ma<sup>2#</sup>, Xian-Shu Gao<sup>2</sup>, Jian-Ting Liu<sup>1</sup>, Xiao-Bin Gu<sup>3</sup>, Min Zhang<sup>2</sup>, Bo Zhao<sup>4,5</sup>, Yu Wang<sup>1</sup>, Xian-Ling Wang<sup>1</sup>, Hai-Xia Jia<sup>1</sup>

<sup>1</sup>Department of Radiation Oncology, Shanxi Cancer Hospital and the Affiliated Cancer Hospital of Shanxi Medical University, Taiyuan, China; <sup>2</sup>Department of Radiation Oncology, Peking University First Hospital, Beijing, China; <sup>3</sup>Department of Radiation Oncology, The First Affiliated Hospital of Zhengzhou University, Zhengzhou, China; <sup>4</sup>Department of Engineering Physics, Tsinghua University, Beijing, China; <sup>5</sup>Key Laboratory of Particle & Radiation Imaging, Ministry of Education (Tsinghua University), Beijing, China

**Contributions:** (I) Conception and design: N Jing, MW Ma; (II) Administrative support: XS Gao; (III) Provision of study materials or patients: MW Ma, N Jing, JT Liu; (IV) Collection and assembly of data: N Jing, XB Gu, M Zhang, B Zhao, XL Wang, HX Jia; (V) Data analysis and interpretation: MW Ma, Y Wang; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

<sup>#</sup>These authors contributed equally to this work.

**Correspondence to:** Xian-Shu Gao. Department of Radiation Oncology, Peking University First Hospital, No. 7 Xishiku Street, Beijing, China. Email: doctorgaoxs@126.com.

**Background:** The purpose of this study was to develop prognostic nomograms from a cohort of patients with triple-negative breast cancer (TNBC) with histology of infiltrating duct carcinoma (IDC) by correlating their clinical and pathological parameters with the rates of disease-free survival (DFS) and overall survival (OS).

**Methods:** We retrospectively analyzed TNBC patients with histology of IDC at our institution between 2009 and 2012. Age, family history, menopausal status, surgery type, T stage, N stage, histological grade, vascular invasion, perineural invasion, cytokeratin 5/6 status, Ki-67 expression, and epithelial cadherin (E-cadherin) status were analyzed. Predictors were used in multivariable logistic regression analysis to develop a nomogram to predict DFS and OS rates. The nomograms were then subjected to internal validation, with external validation of the nomogram for predicting OS using separate cohorts of TNBC patients known from the Cancer Genome Atlas (TCGA) database. Using the concordance index (C-index) with calibration curves, the predictive accuracy and discriminative ability were calculated.

**Results:** A total of 242 eligible TNBC patients were included for analysis. The median follow-up time was 70.73 months. Of the patients, 32.6%, 42.6%, and 24.8% had stage I, II, and III disease, respectively. The 3- and 5-year survival rates were 81.0% and 76.5% for DFS, and 86.5% and 81.1%, for OS, respectively. Age, T stage, N stage, and E-cadherin status were found to be risk factors. The nomograms based on those risk factors accurately predicted the 3- and 5-year survival rates. The C-index was 0.798 and 0.821 for DFS and OS, respectively. Besides, the nomogram for OS showed relatively reliable performance in stratifying different risk groups of patients in training and validation cohorts identified from the TCGA database. The C-index reached 0.843. DFS validation was not completed, as there was insufficient data.

**Conclusions:** Using clinicopathological information, we produced a prognostic nomogram that accurately predicts the 3- and 5-year DFS and OS for patients with TNBC with histology of IDC. More external confirmation is required.

**Keywords:** Triple-negative breast cancer (TNBC); disease-free survival (DFS); overall survival (OS); nomogram; comprehensive therapy

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## Introduction

Triple-negative breast cancer (TNBC) accounts for 12–17% of all breast cancer (1) and is characterized as an absence in estrogen receptor (ER), progesterone (PR), and human epidermal growth factor receptor 2 (HER2) (2) immunohistochemical expression. TNBC presents as a heterogeneous group of tumors that is associated with a higher risk of the early development of local recurrence and visceral metastasis compared to other breast cancer subtypes (3). TNBC can be divided into various subtypes according to gene expression profiles (4), with a variable prognosis for each subtype. However, there is presently no consensus on the classification of the TNBC subtype. It is difficult to predict the prognosis of TNBC according to each subtype due to the technical complexity and expense. However, patient information including age, family history, menopausal status, operative type, and histological data such as tumor size are readily available for the prognostication of TNBC. Infiltrating duct carcinoma (IDC) is the most common histology among TNBC patients, accounting for 90% of breast cancer. Therefore, the development of a simple tool that uses the above data to predict the prognosis of TNBC patients with IDC histology could be clinically useful.

Nomograms are used as a prognostic device in all medical fields. The visual format of nomograms can provide a statistical predictive model that is readily understood by both patients and their physicians (5). One of the primary advantages of nomograms is their ability to estimate individualized risk based on patient and disease characteristics.

This study aimed to identify the prognostic factors for the disease-free survival (DFS) and overall survival (OS) of stage I-III TNBC patients with histology of IDC based on clinicopathological data and to develop easily applicable prognostic nomograms for the estimation of outcomes. This is the first research to establish nomograms based on the specific clinicopathological features of TNBC, to the best of our knowledge. We present the following article in accordance with the STROBE reporting checklist (available at <http://dx.doi.org/10.21037/atm-20-413>).

## Methods

### Patients

This retrospective study was conducted at Shanxi Cancer Hospital and the Affiliated Cancer Hospital of Shanxi Medical University between April 2009 and 2012, on a

primary cohort of TNBC patients. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). All study participants gave written informed consent, and the study was approved by ethics board of Shanxi Cancer Hospital and the Affiliated Cancer Hospital of Shanxi Medical University (No. 202035). We also verified that all procedures were carried out in compliance with the applicable guidelines and regulations. Inclusion criteria included the following: (I) patients who were histopathologically proven to have infiltrative, non-specific TNBC; (II) patients who had complete clinicopathological data; (III) patients who received a modified-radical mastectomy or breast-conserving surgery and post-operative chemotherapy and radiotherapy, according to the current National Comprehensive Cancer Network (NCCN) guidelines. Patients with *in situ* carcinoma or infiltrative specific carcinoma (non-infiltrating duct cancer) were excluded. Patients without standard post-operative treatment were also excluded. ER and PR negativity were defined as <1% of cells staining positive, according to the American Society of Clinical Oncology/College of American Pathologists (ASCO/CAP) guidelines (6); HER2 negativity was scored as 0 or 1+ in terms of its staining intensity by immunohistochemistry; if the score was 2+, fluorescence *in situ* hybridization was required to confirm HER2 negativity. Positive membrane staining of epithelial cadherin (E-cadherin) was characterized as weak or strong. Cytokeratin 5/6 (CK5/6) positivity was defined as weak or strong cytoplasmic staining. The datasets that were used and/or analyzed during the current study are available from the corresponding author on reasonable request.

### Patient follow-up

All patients were followed-up by hospitalization, outpatient visits, or telephone consultation. DFS and OS were used as the primary study endpoint and defined as the interval between the date of surgery and date of disease recurrence (any location) and death or the last date of follow-up.

### Validation of the developed nomogram

To perform the external validation, we found 96 TNBC patients with stage T1-3N0-3M0 disease from the Cancer Genome Atlas (TCGA) acquired from the CBioPortal (<http://www.cbioportal.org>). We utilized the CDH1 gene, which encodes the mRNA expression level of cadherin 1, type 1, E-cadherin (epithelial) (CDH1) gene, as the

expression data of E-cadherin. RNAseq read count below 10000 was defined as a group of lower expressions. Package TCGA-Biolinks R was used to extract clinical data and mRNA expression from TCGA datasets. Thus, enough information on the validation cohort was acquired to score all variables in the nomogram.

### Statistical analysis

DFS and OS were estimated using the Kaplan-Meier method and used for univariate analysis. The factors with  $P < 0.1$  were incorporated into a Cox proportional hazards regression model for multivariate survival analysis to evaluate individual prognostic factors. The predictors that were assessed in this investigation included age, family history, menopausal status, type of surgery (modified radical mastectomy versus breast-conserving), T stage, histological grade (divided as grade I-II, and III), N stage, vascular invasion, perineural invasion, cytokeratin 5/6 status, Ki-67 expression, and E-cadherin status.

Nomograms were constructed that were based on the clinically relevant factors and statistically significant factors derived from DFS and OS analysis of the Cox proportional-hazard regression. The performance of the nomograms was measured by the concordance index (C-index), and the calibration curves were calculated from the multivariate logistic model. Bootstrapping with 1000 resamples was used for these analyses. All the statistical analysis was performed using the open-source R statistical software (R Development Core Team 2008, Vienna, Austria).

## Results

### Patient clinicopathologic characteristics

A total of 242 patients met the criteria for inclusion. *Table 1* lists the baseline characteristics of the primary TNBC patients with IDC histology who were analyzed in this study. The median patient age was 51 years (range, 29–69 years), and 67.4% of the patients had stage II-III disease. Only one patient had a grade 1 histological grade. The most common surgical technique was a modified-radical mastectomy (n=222, 91.7%).

### Kaplan-Meier evaluation of DFS and OS

The median follow-up time was 70.73 months (range, 7.20–95.93 months). The 3- and 5-year survival rates were

**Table 1** Clinical and pathologic characteristics of patients (n=242)

Characteristic	Patients, n (%)
Age, y	
Median (range)	51 [29–69]
<40	44 (18.2)
≥40	198 (81.8)
Family history	
Yes	47 (19.4)
No	195 (80.6)
Menopausal status	
Yes	96 (39.7)
No	146 (60.3)
Type of surgery	
Modified radical mastectomy	222 (91.7)
Breast-conserving surgery	20 (8.3)
T stage	
T1	110 (45.5)
T2	109 (45.0)
T3	23 (9.5)
N stage	
N0	138 (57.0)
N1	55 (22.7)
N2	32 (13.2)
N3	17 (7.0)
TNM stage	
I	79 (32.6)
II	103 (42.6)
III	60 (24.8)
Histological grade	
I	1 (0.4)
II	117 (48.4)
III	124 (51.2)
Vascular invasion	
Yes	58 (24.0)
No	184 (76.0)
Perineural invasion	
Yes	3 (1.2)

**Table 1** (continued)

Table 1 (continued)

Characteristic	Patients, n (%)
No	239 (98.8)
E-cadherin	
Negative	17 (7.0)
Positive	225 (93.0)
CK5/6	
Negative	73 (30.2)
Positive	169 (69.8)
Ki67	
<14%	30 (12.4)
≥14%	212 (87.6)

81.0% and 76.5% for DFS, and 86.5% and 81.1% for OS, respectively. Of the 242 study patients, 50 (20.7%) died during the follow-up period. Kaplan-Meier plots of DFS and OS for TNBC patients grouped according to age, T stage, histological grade, N stage, and E-cadherin status are shown in *Figures 1* and *2*.

### Independent prognostic factors

In the univariate analysis, the prognostic factors with P value <0.1 in the cohort were as follows: age, T stage, N stage, histological grade, E-cadherin status for both DFS and OS; and menopausal status, for DFS. See [Table S1](#) for more information. The multivariate analysis found that N stage (P<0.001 for both DFS and OS) and E-cadherin expression status (P<0.001 for DFS; P=0.029 for OS) were independent risk factors for both DFS and OS. Age (P=0.009 for OS) and T stage (P=0.039 for OS) were independent risk factors for OS (*Table 2*). Since age and T stage have clinical significance, we incorporated all these four factors into the following nomograms for DFS and OS.

### Prognostic nomogram for DFS and OS

*Figure 3* illustrates the prognostic nomogram for the 3- and 5-year DFS (A) and OS (B), as generated by the factors in the primary cohort. The calibration plots for the probability of DFS and OS showed a relatively high level of consistency between the actual observed outcome and the outcome that was predicted by the nomogram in the internal validation.

The predicted accuracy for DFS and OS, as measured by the C-index, was 0.798 (*Figures 4A,B*) and 0.821 (*Figures 4C,D*).

### Validation of predictive accuracy of the nomogram for OS

Ninety-six patients were found as the validation cohort from the TCGA database. In the validation cohort, the 3- and 5-year OS rates were 86.6% and 67.9%, respectively. The nomogram created from this cohort of patients is shown in [Figure S1](#). The C-index of the nomogram for predicting OS was 0.843. The calibration curves for the probability of 3- and 5-year OS were shown in [Figure S2](#). As data were not enough in terms of analyzing DFS in the TCGA database, we did not perform this validation.

### Discussion

In this study, we developed a prognostic nomogram that was based on the clinicopathological features of 242 patients with TNBC with IDC histology. The nomograms were able to predict the 3- and 5-year DFS and OS accurately. TNBC is drawing increased attention because of its specific biological characteristics (7), which lead to a higher probability of relapse and shorter OS times than other types of breast cancer. The most important reason for this is that TNBC does not benefit from endocrine therapy or targeted molecular therapies, as no appropriate target exists for these patients. TNBC has thus become the focal point of medical research (8). TNBC patients are at a higher risk of recurrence, usually from distant lung, brain, or soft tissue metastasis (between the first and third years after their primary treatment (9). Various TNBC subtypes have been identified using gene expression profiles and the presence of biomarkers, and these may be useful in biomarker selection as well as novel subtype-specific target identification (4,10). However, there is presently no consensus on the subtype classification of TNBC.

Furthermore, no subtype classification using the above information is available for accurately predicting prognosis. At present, commonly used prediction tests such as MammaPrint score, PAM50/risk of recurrence/Prosigna kit Oncotype DX assay have all been used to confirm ER-positive patients (11). The other possible way to define outcomes among TNBC patients is to extract information on immune cell and inflammatory infiltration, although the practice value may be limited (12,13). Thus, to set up a simple tool such as a nomogram using clinical features to predict the



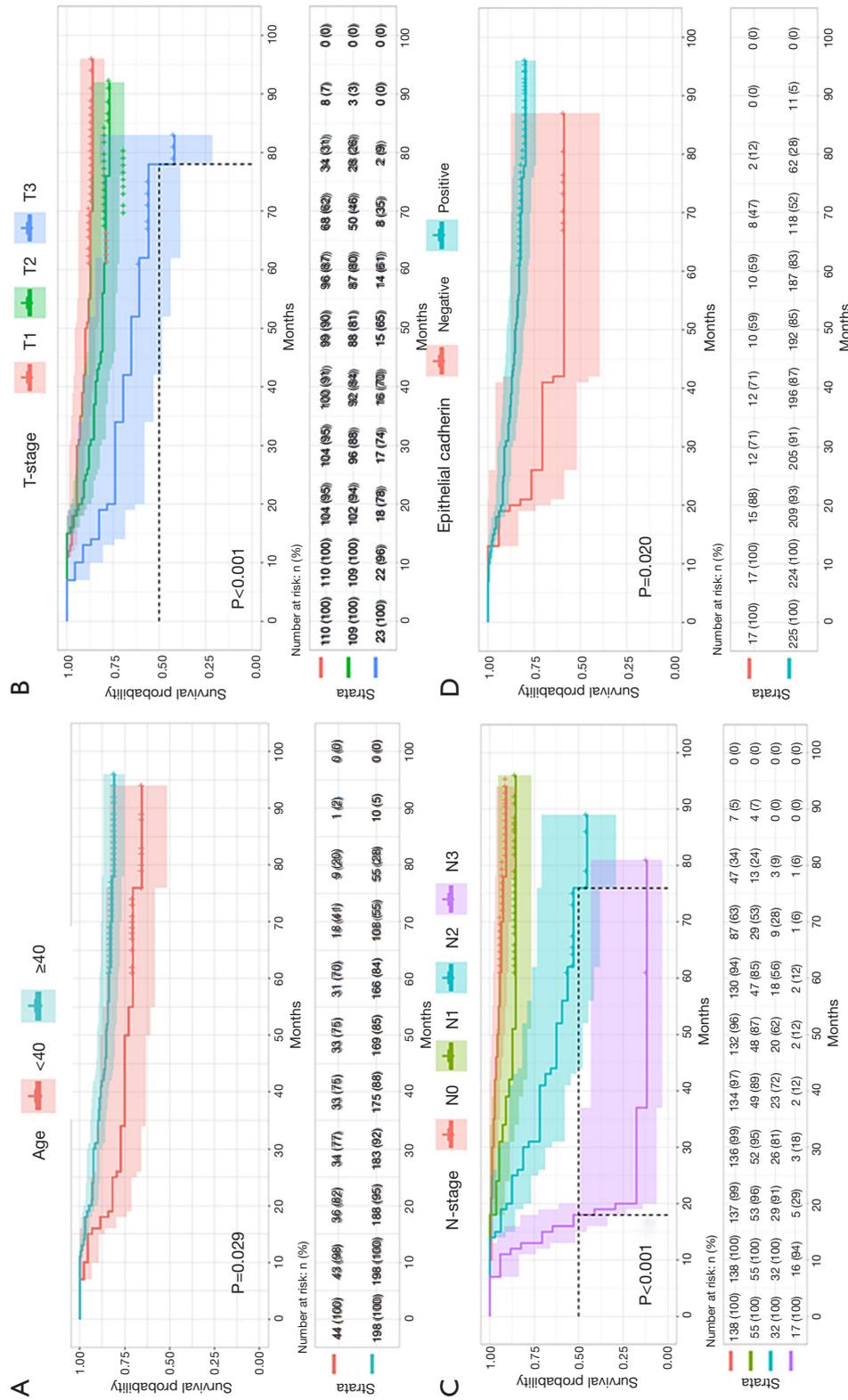
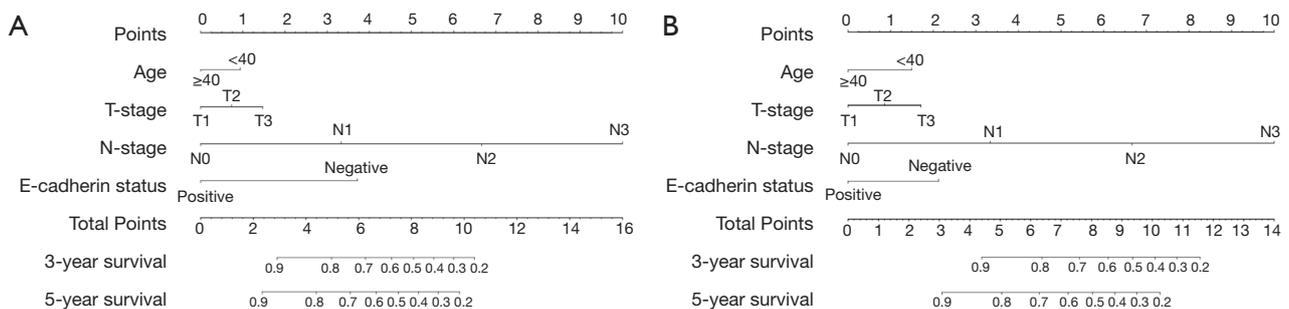


Figure 2 Kaplan-Meier curve of overall survival (OS) stratified by (A) age, (B) T stage, (C) N stage, (D) status of E-cadherin expression.

**Table 2** Multivariate analyses for DFS and OS

Variables	DFS		OS	
	Multivariate hazard ratio (95% CI)	P	Multivariate hazard ratio (95% CI)	P
Age	0.61 (0.30–1.23)	0.166	0.40 (0.20–0.80)	0.009**
T stage				
T1 ( $\leq 2$ cm)	1 (ref)		1 (ref)	
T2 ( $> 2$ & $\leq 5$ cm)	0.76 (0.38–1.51)	0.431	0.72 (0.35–1.49)	0.377
T3 ( $> 5$ cm)	2.14 (0.96–4.76)	0.063*	2.36 (1.04–5.35)	0.039**
N stage				
N0 (0)	1 (ref)		1 (ref)	
N1 ( $\leq 3$ )	1.79 (0.77–4.15)	0.177	1.52 (0.59–3.92)	0.391
N2 ( $> 3$ & $\leq 9$ )	7.10 (3.22–15.68)	$< 0.001^{**}$	7.65 (3.42–17.12)	$< 0.001^{**}$
N3 ( $> 9$ )	43.46 (18.30–103.23)	$< 0.001^{**}$	53.76 (21.25–135.99)	$< 0.001^{**}$
Histological grade	1.11 (0.61–2.01)	0.731	1.12 (0.59–2.11)	0.730
E-cadherin	0.23 (0.10–0.50)	$< 0.001^{**}$	0.39 (0.17–0.91)	0.028**
Menopause	0.74 (0.38–1.45)	0.383	–	–

\*,  $P < 0.1$ ; \*\*,  $P < 0.05$ . CI, confidence interval.

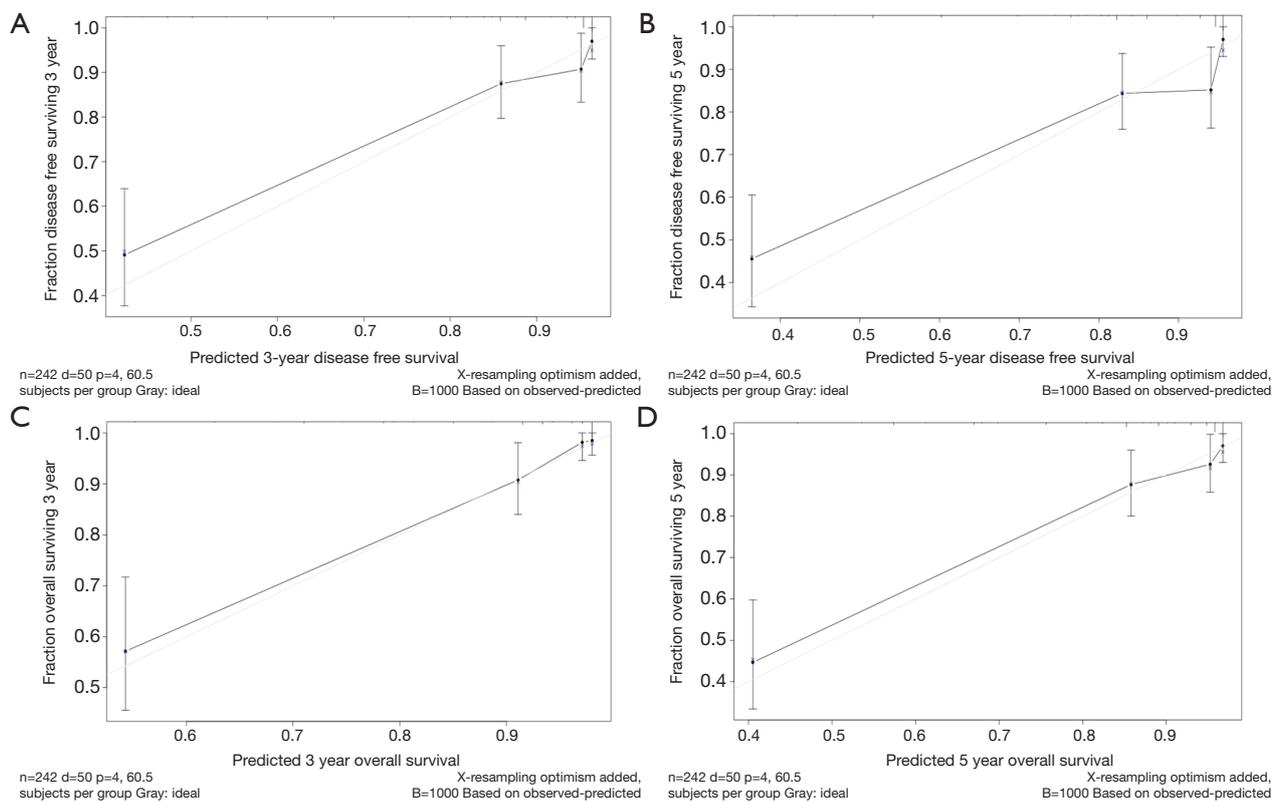


**Figure 3** The nomograms that are used to predict the probability of DFS (A) and OS (B) for TNBC patients. Instructions: find the clinical parameters (e.g., age) on the respective axis and draw a line straight up to the point axis. Next, sum the points for each of the predictors and find the final sum on the total point axis. Lastly, draw a line straight down to find the patient's probability of DFS or OS.

prognosis of TNBC patients is reasonable in clinical practice.

A previous study (14) showed that the size of a tumor and the status of the axillary lymph node were independent prognostic factors. Additionally, TNBC patients are typically younger at diagnosis than patients with other types of breast cancer (15). As was stated in the previous study, ER-negative cancers tend to be poorly differentiated (16). Only one patient in our group had a grade I tumor. After categorizing the group into grade I-II and grade III, the histological classification showed no significance, which may be explained by the small sample size. E-cadherin

is encoded by *CDH1*, which is a member of the classical cadherins class and is a vascular endothelial cadherin. It plays a vital role in tissue formation. It is defined as a tumor suppressor gene that slows cancer cell progression because it mediates cell-cell communication at the basolateral membrane in adherent junctions, and it also plays a crucial role in epithelial-to-mesenchymal transition (EMT) (17). In our study, the absence of E-cadherin indicated a decrease in DFS and OS, and another study (18) has also found that it is an important independent prognostic factor. Age, T stage, N stage, and the status of E-cadherin expression were found



**Figure 4** Calibration curves of the nomograms for (A) predicting the 3-year and (B) 5-year DFS, and (C) 3-year and (D) 5-year OS in the primary cohort, respectively.

to have significant prognostic value in our study.

Nomograms are currently used to measure cancer-specific survival (19), overall survival (20), and the value of adjuvant therapy (21) in breast cancer patients. To date, several studies have predicted OS in TNBC patients and presented powerful models for breast cancer care. Dai (22) used data from the Surveillance, Epidemiology, and End Results (SEER) program to construct a model that predicts three-year OS. However, since only 10.9% of the patients died, the follow-up time was short. Another study predicted the pathologic complete response of neoadjuvant chemotherapy, which is applicable for treatment decision-making (23). Other nomograms for TNBC patients established through the TCGA database included one for patients with non-infiltrating ductal carcinoma, which is an independent prognostic factor (13).

In our study, we generated a predictive tool for TNBC with IDC histology patients at their first disease presentation and pathological diagnosis that had high accuracy. Most of the patients in our study had stage II-III disease. The 3- and 5-year DFS and OS rates in our cohort are consistent with

the results of other recent studies (24,25). In the primary cohort, our model integrating the above features was based on the clinically relevant factors of the Cox proportional hazards model and coefficients and showed good discrimination. Although the sample size of the validation cohort of TCGA datasets was small, the results of the calibration curves suggested that this nomogram was useful for predicting the short-term overall survival of TNBC patients. A larger sample size of the validation sets was required for the long-term survival results.

As TNBC stands out for its aggressive behavior, new biomarkers (26) and treatment entities for controlling TNBC are being explored, and many promising results have been found. In a prospective, multicenter study which investigated the addition of pembrolizumab to neoadjuvant chemotherapy for early TNBC patients, a significantly higher pathological complete response (64.8%) was found in the pembrolizumab-chemotherapy group as compared with the placebo-chemotherapy group (51.2%) (27). Another study designed and validated novel nitrogen-based chalcone analogs, which could induce a reversal of EMT

by upregulating the E-cadherin (28). Using this model, we may use hypothesize that it is desperately important for patients with lower DFS or OS to try to incorporate new modalities other than traditional therapy to manage the disease. For instance, a 45-year-old patient with TNBC with IDC histology, who had a tumor of 4 cm in size, one positive axillary lymph nodes, and negative E-cadherin expression may have a probability of 82% and 72% for OS and 55% and 50% for DFS at 3 and 5 years after diagnosis, respectively. That is to say, even though the patient is in a relatively early stage, there would be half the risk for them to suffer tumor progression five years after the surgery. More aggressive or newer agents for her was needed.

This study is limited by its small sample size and retrospective, single-center nature; therefore, further external validation is required. Additionally, data of other pathological prognostic parameters such as epidermal growth factor receptor (EGFR), androgen receptor (AR), tumor-infiltrating lymphocytes (TILs), and the breast cancer susceptibility genes (BRCA) mutation that are potentially related to prognosis are lacking. The establishment and validation of nomograms that incorporate newly and specific markers in a large cohort of TNBC patients need to be pursued.

## Conclusions

We generated a multifactorial nomogram that combines clinicopathological factors with classical TNBC with IDC histology. Based on this model, early aggressive therapy may be called for in the management of patients with poor prognosis. However, multicenter data and more prognostic factors are needed to confirm this nomogram for clinical practice.

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## Footnote

*Reporting Checklist:* The authors have completed the

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*Data Sharing Statement:* Available at <http://dx.doi.org/10.21037/atm-20-413>

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*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/atm-20-413>). The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are responsible for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study protocol was approved by the Ethics Committee of Shanxi Cancer Hospital and the Affiliated Cancer Hospital of Shanxi Medical University (No. 202035), in accordance with the Declaration of Helsinki (as revised in 2013). Written informed consent was obtained from all patients or their surrogate when possible.

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