Management of asymptomatic carotid stenosis

J. David Spence1,2

1Neurology & Clinical Pharmacology, Western University, London, ON, Canada; 2Stroke Prevention & Atherosclerosis Research Centre, Robarts Research Institute, London, ON, Canada

Correspondence to: J. David Spence, CM, MD, FRCPC, FAHA. Professor of Neurology & Clinical Pharmacology, Western University; Director, Stroke Prevention & Atherosclerosis Research Centre, Robarts Research Institute, 1400 Western Road, London, ON, N6G 2V4, Canada. Email: dspence@robarts.ca.

Abstract: Patients with asymptomatic carotid stenosis (ACS) are at very high risk of coronary events, so they should all receive intensive medical therapy. What is often accepted as “best medical therapy” is usually suboptimal. Truly intensive medical therapy includes lifestyle modification, particularly smoking cessation and a Mediterranean diet. All patients with ACS should receive intensive lipid-lowering therapy, should have their blood pressure well controlled, and should receive B vitamins for lowering of plasma total homocysteine (tHcy) if levels are high; a commonly missed cause of elevated tHcy is metabolic B12 deficiency, which should be diagnosed and treated. Most patients with ACS would be better treated with intensive medical therapy than with either carotid endarterectomy (CEA) or stenting (CAS). A process called “treating arteries instead of treating risk factors” markedly reduced the risk of ACS in an observational study; a randomized trial vs. usual care should be carried out. The few patients with ACS who could benefit (~15%, or perhaps more if recent evidence regarding the risk of intraplaque hemorrhage is borne out) can be identified by a number of features. These include microemboli on transcranial Doppler, intraplaque hemorrhage, reduced cerebrovascular reserve, and echolucency of plaques, particularly “juxtaluminal black plaque”. No patient should be subjected to CAS or CEA without evidence of high-risk features, because in most cases the 1-year risk of stroke or death with intervention is higher with either CEA (~2%) or CAS (~4%) than with intensive medical therapy (~0.5%). Most patients, particularly the elderly, would be better treated with CEA than CAS. Most strokes can be prevented in patients with ACS, but truly intensive medical therapy is required.

Keywords: Carotid stenosis; endarterectomy; stenting; intensive medical therapy; identifying high-risk asymptomatic stenosis

Submitted Jan 21, 2020. Accepted for publication Apr 27, 2020.
doi: 10.21037/atm-20-975

View this article at: http://dx.doi.org/10.21037/atm-20-975

Patients with asymptomatic carotid stenosis (ACS) are at very high risk of mortality and cardiovascular events; their risk of a myocardial infarction is very high (1), and much higher than their risk of stroke (2). For that reason they should all receive intensive medical therapy, including lifestyle modification. With modern medical therapy the risk of stroke with ACS has declined to ~0.5% per year, so only a small minority of ACS patients could possibly benefit from carotid endarterectomy (CEA) or stenting (CAS). In this narrative review I discuss medical therapy, ways to identify which patients with ACS could benefit from intervention, and which patients would be more likely to benefit from CEA vs. CAS.

Medical therapy

Most strokes can be prevented with intensive medical therapy, but in most clinics, what is accepted as “best medical therapy” is suboptimal (3). To maximally reduce the risk of stroke in ACS, medical therapy needs to be truly intensive, as described below, and needs to include lifestyle modification.
Lifestyle

Lifestyle is far more important than most physicians suppose. In the Prospective Urban and Rural Epidemiological Study (PURE) study, behavioral risk factors (smoking, alcohol, diet, exercise, and sodium intake) contributed most to deaths (26.3% of the Population-attributable fractions) (4). In a US study of health professionals (n=114,928, 61% male), those who achieved all 5 healthy lifestyle factors (not smoking, moderate alcohol intake, body mass index <25, exercise daily 30 minutes, top 40% healthy diet score) had an 80% lower risk of stroke (5). Among Swedish men with hypertension and hyperlipidemia, achieving all 5 healthy lifestyle targets reduced coronary events by more than 80% (6).

Smoking cessation

Smoking increases the risk of stroke 6-fold, and second hand smoke increases the risk 1.8-fold (7). Among patients with ACS it is therefore imperative that patients stop smoking. They should be persuaded that it does not matter that it is very difficult to quit smoking; it must be done. The parable of the cold lake (borrowed from a Lancet article on weight loss) can be helpful: “If you are walking along the shore of a cold lake and your grandson is drowning, it doesn't take will power to go into the lake; it must be done.” Liberal nicotine replacement (as much as it takes to deal with the addiction) should be recommended (8); sometimes varenicline or bupropion can be helpful, but may have adverse effects. The Ottawa protocol for smoking cessation (9) has perhaps been more successful than other approaches. Among patients in the Insulin Resistance Intervention after Stroke (IRIS) trial, smoking cessation was associated with a reduction of the risk of stroke, MI or vascular death by 44% in 5 years (10).

Diet

After smoking cessation, the other major opportunity to reduce the risk of stroke is diet. Although it is possible that a vegetarian diet might be even better (if B12 deficiency could be avoided), the best evidence for stroke prevention is for the Cretan Mediterranean Diet. The benefits of that diet were discovered in the Seven Countries Study, in which the coronary risk in Crete was 1/15th that in Finland (where 38% of calories were from animal fat, containing cholesterol and saturated fat), and 2/5th that in Japan, where only 10% of calories were from fat. The Mediterranean diet is high in fat (40% of calories, mainly from olive oil), whole grains, fruits vegetables and legumes. Ancel Keys, the leader of the study, described it as a “a mainly vegetarian diet” (11). As a high-fat diet it is a low glycemic index diet; in an Israeli study it was clearly better for diabetes than either a low-fat diet or a low-carbohydrate diet that was high in cholesterol and saturated fat. In the Lyon Diet Heart Study, the Mediterranean diet was compared to a “prudent Western diet” among persons with coronary artery disease. The Mediterranean diet reduced both stroke and recurrent myocardial infarction by >60% in 4 years (12). That was twice the effect of simvastatin in the Scandinavian Simvastatin Survival Study; a 40% reduction of recurrent myocardial infarction in 6 years (13).

Patients with ACS should keep the intake of animal flesh (mainly fish and chicken, seldom red meat) to ~2 ounces a day, or a serving the size of their palm about every other day, and avoid egg yolk (14). Egg yolk and red meat are not only high in cholesterol (180 mg in a 6-ounce serving of meat, and 237 mg in a 63-gram egg); they are also high in dietary precursors of trimethylamine, produced by the intestinal bacteria: carnitine in red meat, and phosphatidylcholine in egg yolk. Trimethylamine is oxidized in the liver to trimethylamine N-oxide (TMAO), which causes atherosclerosis in an animal model (15). Among patients referred for coronary angiography, those with plasma TMAO in the highest quartile had a 2.5-fold increase in the 3-year risk of stroke/MI/vascular death (16). Patients with renal failure have high levels of TMAO, which accelerates decline of renal impairment and increases mortality. Wang et al. reported (17) that switching from red meat to white meat or non-meat protein significantly reduced levels of TMAO within a month. Not only TMAO, but other vasculotoxic metabolites produced by the intestinal microbiome from amino acids in protein, such as p-cresylsulfate, hippuric acid, indoxyl sulfate, p-cresylglucuronide, phenylacetyl glutamine and phenylsulfate, are renally excreted. Even moderate impairment of renal function, to an estimated glomerular filtration rate (eGFR) <60 mL/min/1.73 m² significantly increases their plasma levels (18). Above age 80, the average eGFR is <60 mL/min/1.73 m² (19), so persons with renal impairment, including the elderly, should limit meat intake and avoid egg yolk (14).

Blood pressure control

Blood pressure control markedly reduces the risk of stroke; it virtually eliminates hypertensive intracerebral...
hemorrhage (20) and markedly reduces the risk of lacunar infarction (21). To achieve control of resistant hypertension, it is important to identify the cause. Among patients with carotid stenosis, renovascular hypertension is common (22), and may require revascularization (23,24). To identify the best medical therapy for patients with resistant hypertension, physiologically individualized therapy based on renin/aldosterone phenotyping (PhysRx) markedly improves blood pressure control.

<table>
<thead>
<tr>
<th>Table 1 Causes of resistant hypertension</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Substances ingested by the patient (salt, licorice, decongestants, nonsteroidal anti-inflammatory agents other than sulindac) (25)</td>
</tr>
<tr>
<td>2. Non-compliance (about half of patients will admit it if asked in a non-judgemental manner) (26)</td>
</tr>
<tr>
<td>3. Therapeutic inertia (failure to initiate or intensify medical therapy)</td>
</tr>
<tr>
<td>4. Secondary hypertension (pheochromocytoma, primary aldosteronism, renovascular hypertension, etc.)</td>
</tr>
<tr>
<td>5. Diagnostic inertia (failure to investigate the underlying cause of the hypertension) (27,28)</td>
</tr>
</tbody>
</table>

Table 2 Physiologically individualized therapy based on renin/aldosterone phenotyping (30)

<table>
<thead>
<tr>
<th>Primary aldosteronism/inappropriate aldosterone secretion</th>
<th>Liddle’s syndrome and variants (mutations affecting the function of the renal Na+ channel)</th>
<th>Renal/renovascular</th>
</tr>
</thead>
<tbody>
<tr>
<td>Renin Low</td>
<td>Low</td>
<td>High</td>
</tr>
<tr>
<td>Aldosterone High</td>
<td>Low</td>
<td></td>
</tr>
<tr>
<td>Primary treatment</td>
<td>Aldosterone antagonist (spironolactone, eplerenone); amiloride if eplerenone is not available for men† (rarely adrenalectomy)</td>
<td>Amiloride</td>
</tr>
</tbody>
</table>


After eliminating rare conditions such as pheochromocytoma and aortic coarctation, hypertension is dominated by the renin/angiotensin/aldosterone axis. Essentially, there are three main groups of hypertensives, and the best medical treatment is different for each of them. Patients with primary aldosteronism/inappropriate aldosterone secretion have a low renin/high aldosterone phenotype, and are best treated with aldosterone antagonists (spironolactone or eplerenone). Those with a low renin/low aldosterone (Liddle) phenotype are best treated with amiloride, and those with a high renin/high aldosterone (Renal) phenotype are best treated with angiotensin receptor blockers or renin inhibitors. Plasma renin and aldosterone should

be measured in a stimulated condition (after diuretic, angiotensin receptor antagonist or angiotensin converting enzyme inhibitor), and their values must be interpreted in the light of the class of stimulating drug (29).

The algorithm shown in Table 2 markedly improved blood pressure control in a study in Africa. Among persons with uncontrolled hypertension at the Nigerian site, where conditions were more similar to developed countries than at the other two sites and patients were randomized to PhysRx vs. usual care (UC), blood pressure control was much better with PhysRx than with UC. Systolic control was obtained in 15% of UC vs. 85% of PhysRx (P=0.0001), diastolic control in 45% vs. 75% (P=0.11) and control of both systolic and diastolic pressure in 15% vs. 75% (P<0.0001) even though the patients were older, and renal function was worse at that site. The biggest change in medication was that amiloride was prescribed to 19% of patients randomized to PhysRx, vs. only 2.8% on UC (30). Primary aldosteronism and a Liddle phenotype are far commoner than most physicians suppose, because primary aldosteronism is caused by variants of at least 6 genes, and in addition to true Liddle Syndrome (due to variants of SCNN1B, the renal tubular epithelial sodium channel, ENaC), there are variants of at least 4 other genes that affect the function of ENaC (31,32).

In the wake of the Systolic Blood Pressure Intervention
(SPRINT) trial (33), it is important to recognize that patients with stiff arteries and a wide pulse pressure will be put at risk by aiming for a systolic pressure target <120 mmHg (34). McEvoy et al. (35) reported that patients with a pulse pressure >60 mmHg and a diastolic pressure <60 mmHg (PP >60/DBP <60) had a doubling of coronary risk; Park and Ovbiagele reported that they had a 5.85-fold increase in the risk of stroke (36). Reasons for this include: (I) most of coronary perfusion and more than half of cerebral perfusion occur during diastole; (II) there is a large pressure gradient in the brain: “when the blood pressure in the brachial artery is 117/75 mmHg, it is 113/73 mmHg in the lenticulostriate artery but only 59/39 mmHg in small branches in the posterior parietal subcortex.” (37); (III) patients with stiff arteries are more likely to have a large cuff artefact, with the true (intra-arterial) pressure being much lower than the blood pressure measured by a cuff (38).

Lipid lowering therapy

Patients with ACS are at such a high risk of coronary events that they should all receive intensive lipid-lowering therapy. Adverse effects of statins are much exaggerated, as a result of observational studies with confounding and indication bias, and fallacious attribution of causality (39). Statins do not cause hepatotoxicity, cataracts, cognitive decline, or, absent rhabdomyolysis, renal impairment. In very large randomized trials, the truly causal adverse effects are limited to myopathy and a slight increase in the risk of diabetes. With the exception of a rare autoimmune myopathy, both of these are likely due to depletion of CoQ10 (ubiquinone), and may be remediable with high doses of ubiquinone. Early trials that failed to show benefit of ubiquinone probably used doses that were too low.

An important and neglected way to achieve effective lipid lowering while minimizing adverse effects of statins is to add ezetimibe. Statins block formation of cholesterol, CoQ10 and many other intermediate metabolites in the formation of cholesterol, whereas ezetimibe blocks cholesterol absorption in the lining of the intestine. By blocking two mechanisms the effect is synergistic; 10 mg of atorvastatin with 10 mg of ezetimibe lowers LDL-C by almost as much as 80 mg of atorvastatin, but with less adverse effects. The only truly causal adverse effect of ezetimibe is loose bowel movements; problematic diarrhea is rare.

There is now good evidence that lipid lowering is beneficial in the elderly. Because the elderly are at higher risk of cardiovascular events, they stand to benefit even more than do young people, with a greater absolute risk reduction and a lower number needed to treat (NNT) (40). This issue was recently reviewed (24). In a RCT of adding ezetimibe to simvastatin, the NNT to prevent one cardiovascular event was only 11 over age 75 vs. 125 below age 75 (41). In an RCT in Japan, 3,765 patients with a mean age of 80.7 years at baseline, with risk factors, but free of coronary artery disease, were randomized to diet vs. diet plus ezetimibe. Over 5 years, the composite primary endpoint (sudden cardiac death, MI, PCI or CAGB, and/or stroke) was reduced by 34% over 5 years with ezetimibe; there was a 40% reduction of cardiac events (42). The recent European Guideline on Dyslipidemias recommends a target LDL-C <1.4 mmol/L for patients at very high risk, and recommends addition of ezetimibe in patients who do not achieve target levels with statins as a Grade 1 recommendation (43).

Recent developments in lipid-lowering therapy based on blocking the effects of proprotein convertase subtilisin/kexin type 9 (PCSK9) are very promising. Injections of antibodies to PCSK9 given once or twice monthly markedly lower LDL-C, with no signal of harm down to LDL-C of 0.2 mmol/L (44). New approaches are in development. RNA silencing drugs injected once or twice a year are efficacious and appear to be safe (45); regulatory approval is expected soon. However these therapies are very costly. It is to be hoped that small molecules will become available soon and that they would make PCSK9-based therapies affordable.

Antithrombotic therapy

Antiplatelet agents

Although there have been recent recommendations that antiplatelet therapy not be used for primary prevention, that would not apply to ACS, since the risk of coronary events in ACS is as high as that in patients with coronary artery disease. There are several issues that deserve discussion in regard to antiplatelet agents. One is the report by Grosser et al. (46), indicating that “aspirin resistance” is probably actually “pseudoresistance”, due to enteric coating of aspirin; chewable aspirin may be preferable to coated aspirin.

Another is the issue of dual antiplatelet therapy. Although it is clear from the coronary literature that dual antiplatelet therapy with clopidogrel and aspirin is more effective than either alone, the literature in stroke prevention has tended to recommend only short-term dual therapy, for up to several months. The reason for this has been concern about increased bleeding with longer-term dual therapy, however
that concern may be the result of inadequate medical care. Good control of hypertension virtually eliminates hypertensive intracerebral hemorrhage. In the NASCET trial strenuous efforts were made to overcome therapeutic inertia. Every time a patient attended a follow-up visit with a blood pressure above the target and antihypertensive therapy was not intensified, the site investigator received a stiff reminder that the protocol was to be followed. That intensive supervision resulted in a reduction of intracranial hemorrhage to only 0.5% of strokes (20), at a time when the norm was 20%.

Another important issue for antiplatelet therapy is that clopidogrel is a pro-drug that requires activation by CYP2C19. More than half of Chinese persons, and ~30% of Europeans have variants of that gene that reduce the efficacy of clopidogrel (47). We should be using ticagrelor, or prasugrel. Although prasugrel is a pro-drug, it is activated by esterases (48), so is not affected by variants of CYP2C19 or other cytochrome-based enzymes. Prasugrel was reported to be more efficacious than ticagrelor in acute coronary syndrome (49).

Anticoagulants

Some patients with ACS may require anticoagulation for cardioembolic sources of stroke, including, but not limited to, atrial fibrillation. In a patient in whom a cardioembolic source is strongly suspected, it is probably prudent to anticoagulate the patient with one of the direct acting anticoagulants (DOACs) (50). It is important to understand the properties of DOACs; though they are all safer than warfarin, and at least two of them have been shown to be no more likely than aspirin to cause severe bleeding, they are not all equally safe and effective within the class. Table 3 highlights important differences.

### Table 3 Pharmacodynamic and pharmacokinetic properties of direct oral anticoagulants (DOACs)

<table>
<thead>
<tr>
<th>Property</th>
<th>Apixaban</th>
<th>Dabigatran</th>
<th>Edoxaban</th>
<th>Rivaroxaban</th>
</tr>
</thead>
<tbody>
<tr>
<td>Target</td>
<td>Factor Xa</td>
<td>Factor IIa</td>
<td>Factor Xa</td>
<td>Factor Xa</td>
</tr>
<tr>
<td>Prodrug</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Dosing</td>
<td>BID</td>
<td>BID</td>
<td>OD</td>
<td>OD</td>
</tr>
<tr>
<td>Bioavailability</td>
<td>50%</td>
<td>6.5%</td>
<td>62%</td>
<td>80–100%</td>
</tr>
<tr>
<td>Half-life</td>
<td>8–15 h</td>
<td>12–14 h</td>
<td>10–14 h</td>
<td>5–13 h</td>
</tr>
<tr>
<td>Renal clearance</td>
<td>–27%</td>
<td>85%</td>
<td>–50%</td>
<td>–33%</td>
</tr>
<tr>
<td>Cmax</td>
<td>3–4 h</td>
<td>1–2 h</td>
<td>1–2 h</td>
<td>2–4 h</td>
</tr>
<tr>
<td>Interactions</td>
<td>Strong inhibitors of CYP3A4 and P-gp</td>
<td>P-gp inhibitors</td>
<td>P-gp inhibitors</td>
<td>Strong inhibitors of CYP3A4 and P-gp</td>
</tr>
</tbody>
</table>

(Reproduced by permission of BMJ from: Spence JD. Cardioembolic stroke: everything has changed. Stroke Vasc Neurol 2018;3:76-83) (51). BID, twice a day; OD, daily; Cmax, time to peak blood level; CYP3A4, cytochrome P4503A4; P-gp, P-glycoprotein.

Although it is widely believed that B vitamins to lower plasma total homocysteine (tHcy) do not reduce the risk of stroke, it is now apparent that in the early studies, harm from cyanocobalamin among patients with renal failure obscured the benefit; B vitamins do reduce the risk of stroke, but we should be using methylcobalamin instead of cyanocobalamin (52).

Additional reasons why there was no benefit of B vitamins in the Vitamin Intervention for Stroke Prevention (VISP) trial were recently reviewed (24). In the French Su.Fol.OM3 trial, in which renal function was the best of the large trials and the dose of cyanocobalamin was much lower than in other trials (20 mcg daily, vs. 400–1,000 mcg daily), the reduction of stroke was 43% over 4.7 years (53). The China Stroke Primary Prevention Trial (CSPPT) showed clearly that folic acid reduced the risk of stroke in a population where folate fortification is not in place (54); the benefit was proportional to reduction of tHcy (55), and the benefit was greater in higher-risk patients (56,57). Elevated tHcy quadruples the risk of stroke (58), and elevated tHcy is very common in vascular patients: above age 80, 40% of patients had tHcy >14 µmol/L (59).

A meta-analysis in 2018 concluded that folic acid and B vitamin combinations reduced the risk of stroke, and
suggested that in countries with no folate fortification folic acid should be used for stroke prevention (60).

Restricting the recommendation to folic acid is problematic, because in countries with folate fortification, the main nutritional determinant of tHcy is vitamin B12 deficiency (61,62). Both biochemical B12 deficiency (a serum B12 below the reference range) and metabolic B12 deficiency (serum B12 below the median and tHcy >14 µmol/L) are common. Before 2009, when B12 supplementation increased in patients referred with stroke/TIA, biochemical B12 deficiency was present in 10% of patients referred to an Urgent TIA Clinic (63), and metabolic B12 deficiency was present in 30% of patients over age 71 (64). After 2009, with increased B12 supplementation in our region, biochemical B12 deficiency declined to 5% of patients, but at age 70–79, 10.9% of patients had metabolic B12 deficiency and at age >80 years it was 15.1%. It is likely that this is more common in other jurisdictions. In the Newcastle 85+ study, at least 25% of patients had metabolic B12 deficiency. Because a serum B12 in the reference range does not identify metabolic B12 deficiency, it is often/usually missed, so it represents an important missed opportunity to prevent stroke and dementia (65).

It might be thought that in countries with folate fortification, only vitamin B12 would be needed for stroke prevention; however two recent studies indicate that patients with the T allele of methylenetetrahydrofolate reductase (MTHFR) require higher doses of folate than would be obtained from folate fortification (66,67). This is important because the T allele, which is associated with higher levels of tHcy is common. In the CSPPT, the prevalence of genotypes in Chinese participants was 27% CC, 49% CT, and 24% TT. In a mainly European population of patients referred for stroke prevention, the prevalence was 40.4% CC, 46.6% CT, and 13% TT. Two recent studies indicate that higher doses of folate are required for patients with the T allele (66,67). It is therefore important to recognize that even in the presence of folate fortification, a substantial proportion of patients would require additional folate supplementation.

How to identify which patients with ACS could benefit from intervention

As discussed above, most patients with ACS would be better treated with intensive medical therapy than with CEA or CAS. However, it is probable that ~15% could benefit from intervention. That estimate may need to be revised upward in view of recent evidence regarding intraplaque hemorrhage, as discussed below. They can be identified by a number of features. Perhaps the best validated is transcranial Doppler microembolus detection (Figure 1). Patients with ACS and 2 or more microemboli in one hour of monitoring had a 1-year risk of stroke of 15.6%, vs. 1% without microemboli (68). Those with 1 or more microemboli had an annual risk of ipsilateral stroke or TIA over 2 years of 7.13% with microemboli vs. 3.04% without microemboli. Patients with 3 or more carotid ulcers (on either or both sides) have a stroke risk similar to that of microemboli (69), and ulcers identify a different population of high-risk ACS. Paraskevas et al. reviewed a number of clinical and imaging features of high-risk ACS that are accepted by the European Society of Vascular Surgery (70) as indications for intervention in ACS. In addition to microemboli on transcranial Doppler (TCD), they include progression of severe stenosis, reduced cerebrovascular reserve, intraplaque hemorrhage, echolucent plaque and “juxtaluminal black plaque” (71) (Table 4).

A meta-analysis in 2019 reported that 29.4% of patients with ACS had intraplaque hemorrhage on MRI, and the annualized risk of ipsilateral stroke with intraplaque hemorrhage was 9.0% versus 0.7% (<50% stenosis), 18.1% versus 2.1% (50% to 69% stenosis), and 29.3% versus 1.5% (70% to 99% stenosis) (72). However, that study included only 126 patients with ACS, so further study is needed, including assessment of the size of intraplaque hemorrhage.

“Treating arteries instead of treating risk factors”

In 2002 we reported that carotid plaque burden, measured by ultrasound as total plaque area (TPA) was a very strong predictor of cardiovascular risk. By quartile of TPA, the 5-year risk of stroke/MI/vascular death was 5.6%, 10.7%, 13.9%, and 19.5%, after adjustment for age, sex, systolic blood pressure, smoking (pack-years), diabetes, serum total cholesterol, tHcy and treatment of blood pressure and cholesterol (73). Carotid plaque burden is highly correlated with coronary calcium score (74), and as predictive of cardiovascular events (75). In the first year of follow-up, half the patients had progression of plaque despite usual therapy, and those with plaque progression had twice the risk of those events, after adjustment for those risk factors (73). This meant that usual therapy was failing half our patients; we needed a better way of doing things. In 2003 we
implemented in our clinics a paradigm change, “Treating arteries instead of treating risk factors” (76). This is feasible because carotid plaque progresses and regresses much faster than most would think (77) (Figure 2). It could not be done with carotid intima-media thickness, coronary calcium, or intracoronary vascular ultrasound, because they do not change in response to therapy in a clinically meaningful time scale (77,78).

**Table 4** Characteristics of high-risk patients with asymptomatic carotid stenosis

<table>
<thead>
<tr>
<th>Imaging/clinical parameter</th>
<th>OR/HR (95% CI), P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Spontaneous embolization on TCD</td>
<td>7.46 (2.24–24.89), 0.001</td>
</tr>
<tr>
<td>Plaque echolucency on Duplex US</td>
<td>2.61 (2.98–4.63), 0.001</td>
</tr>
<tr>
<td>Spontaneous emboli on TCD + echolucency</td>
<td>10.61 (2.98–37.82), 0.0003</td>
</tr>
<tr>
<td>Progression of stenosis (50-99% stenoses)</td>
<td>1.92 (1.14–3.25), 0.05</td>
</tr>
<tr>
<td>Progression of stenosis (70-99% stenoses)</td>
<td>4.7 (2.3–9.6), 0.01</td>
</tr>
<tr>
<td>Silent infarction on CT (60-99% stenoses)</td>
<td>3.0 (1.46–6.29), 0.002</td>
</tr>
<tr>
<td>Impaired CBF reserve 970-99% stenoses)</td>
<td>6.14 (2.77–4.95), &lt;0.01</td>
</tr>
<tr>
<td>Juxtaluminal black plaque area (&lt;4, 4–8, 8–10, &gt;10 mm²)</td>
<td>P for trend &lt;0.001</td>
</tr>
<tr>
<td>Intraplaque hemorrhage on MRI</td>
<td>3.66 (2.77–4.95), &lt;0.01</td>
</tr>
<tr>
<td>Contralateral stroke/TIA</td>
<td>3.0 (1.9–4.73), 0.0001</td>
</tr>
</tbody>
</table>


**Figure 1** Transcranial Doppler embolus detection. Microembolus in a patient with asymptomatic carotid stenosis. The upper channel is an M-mode image of an embolus in the middle cerebral artery; the lower panel shows the high-intensity transit signal in the Doppler channel. Besides the visual appearance of the microembolus, a characteristic clicking sound is heard. (Reproduced by permission of the Society for Vascular Ultrasound from: Spence JD. Transcranial Doppler: uses in stroke prevention. The Journal for Vascular Ultrasound 2015;39:183-7).
The goal of therapy is not simply to achieve target levels of risk factors such as LDL-C and blood pressure; it is to stop progression or achieve regression of plaque. The elements of “Treating Arteries instead of treating risk factors” are shown in Table 5 (80). A key aspect of the process is that showing patients images of their arteries markedly improves compliance with medical advice (81,82).

By 2010 we had evidence that this was extremely effective in patients with ACS: the percent of patients with TCD microemboli declined from 12.6% to 3.7%, the rate of progression of carotid plaque declined significantly, and more importantly, there was a >80% reduction in the 2-year risk of stroke or myocardial infarction (83).

“Treating atherosclerosis without measuring plaque would be like treating hypertension without measuring the blood pressure.” (76). A randomized trial of “treating arteries” vs. usual care should be carried out.

Choosing CEA vs. CAS

On average, the risk of stroke is higher with CAS than with CEA. A meta-analysis reported that compared with patients younger than 60 years, the hazard ratio for stroke and death with CAS was 2.16 at age 65–69, and 4.0 above age 70 (85). This probably relates to a higher risk of emboli during stenting in patients with tortuous, stiff craggy arteries. Figure 3 shows microemboli during stenting of carotid stenosis (86). Almekhlafi et al. reported that 80% of patients had new infarctions (shown as diffusion restriction lesions on MRI) after carotid stenting, and 6.7% had worsening of their clinical status (87).

Patients in whom CAS might be preferred to CAS would include younger patients, and those with specific anatomical features such as distal stenosis, lack of tortuosity of the arteries, absent or minimal plaque calcification, local tissue scarring due to previous surgery or radiation, and those with a high medical risk for surgery (88).

Conclusions

Patients with ACS are at very high risk of vascular events, so they should all receive truly intensive medical therapy, including risk factor modification. Only a small minority could benefit from CEA or CAS; most would be better treated medically. The few who could benefit can be identified by a number of high-risk features. Of those, most would be better treated with endarterectomy than with stenting.
Table 5: Elements of “Treating arteries instead of treating risk factors”

<table>
<thead>
<tr>
<th>Measure</th>
<th>Intervention</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Lifestyle modification</strong></td>
<td></td>
</tr>
<tr>
<td>All</td>
<td>Show patients images of their plaque, compare the patient’s plaque burden with that of healthy persons of the same age and sex, describe the risks associated with that degree of plaque burden and progression and the possibility of plaque regression</td>
</tr>
<tr>
<td>Smoking cessation</td>
<td>Counselling, liberal nicotine replacement, varenicline or bupropion (depending on history of depression)</td>
</tr>
<tr>
<td>Mediterranean Diet</td>
<td>Counselling, provision of a booklet summarizing advice and providing recipes and links to internet sites; repeated at follow-up visits as necessary</td>
</tr>
<tr>
<td>Obesity</td>
<td>Counselling on caloric restriction, referral to dietician, bariatric surgery in refractory patients with severe obesity and diabetes or insulin resistance</td>
</tr>
<tr>
<td>Exercise</td>
<td>Recommendations for moderate exercise at least 30 minutes a day, with advice tailored to the patient’s disabilities if any</td>
</tr>
<tr>
<td>Blood pressure</td>
<td>Advice on how to reduce salt intake, limit alcohol intake, avoid licorice, decongestants</td>
</tr>
<tr>
<td><strong>Medical therapy</strong></td>
<td></td>
</tr>
<tr>
<td>Blood pressure control</td>
<td>Physiologically individualized therapy for resistant hypertension based on renin/aldosterone profile (30); switch NSAIDs to sulindac (25)</td>
</tr>
<tr>
<td>Lipid lowering</td>
<td>Statins increasing according to plaque progression to the highest dose tolerated (with use of CoQ10 to minimize myopathic symptoms); addition of ezetimibe, and as needed for low HDL/high triglycerides, addition of fibrates; PCSK9-based treatments if feasible</td>
</tr>
<tr>
<td>Antiplatelet agents</td>
<td>Low-dose aspirin, with addition of clopidogrel in patients with severe stenosis or other indicators of high risk</td>
</tr>
<tr>
<td>Anticoagulation</td>
<td>In patients with atrial fibrillation or other cardiac sources of stroke</td>
</tr>
<tr>
<td>Insulin resistance, prediabetes</td>
<td>Pioglitazone (79); reinforcement of lifestyle issues</td>
</tr>
<tr>
<td>Diabetes</td>
<td>Reinforcement of lifestyle changes; referral to diabetes clinic</td>
</tr>
</tbody>
</table>

(Reproduced by permission of the American Heart Association (with updating of references) from the supplement to: Yang C, Bogiatzi C, Spence JD. Risk of Stroke at the Time of Carotid Occlusion. *JAMA Neurol* 2015;72:1261-7).

Figure 3: Microemboli during carotid stenting. Showers of emboli commonly (even usually) occur during carotid stenting. (A) shows microemboli while crossing the aortic arch during stenting of a common carotid; (B) shows microemboli during stenting of an internal carotid artery. (Courtesy of Dr. Claudio Muñoz). (Reproduced by permission of Springer from: Spence JD. Management of Patients with an Asymptomatic Carotid Stenosis-Medical Management, Endovascular Treatment, or Carotid Endarterectomy? *Curr Neurol Neurosci Rep* 2016;16:3).
Acknowledgments

Funding: None.

Footnote

Provenance and Peer Review: This article was commissioned by the Guest Editor (Dr. Kosmas I. Paraskevas) for the series “Carotid Artery Stenosis and Stroke – Prevention and Treatment Part I” published in Annals of Translational Medicine. The article was sent for external peer review organized by the Guest Editor and the editorial office.

Conflicts of Interest: The author has completed the ICMJE uniform disclosure form (available at http://dx.doi.org/10.21037/atm-20-975). The series “Carotid Artery Stenosis and Stroke – Prevention and Treatment Part I” was commissioned by the editorial office without any funding or sponsorship. JDS reports personal fees from Amgen, personal fees from Orphan Technologies, other from Vascularis Inc., outside the submitted work. In addition, JDS has a small share of a patent on measurement of carotid plaque by 3D ultrasound, with royalties paid by Enable Imaging Inc.

Ethical Statement: The author is accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

Open Access Statement: This is an Open Access article distributed in accordance with the Creative Commons Attribution-NonCommercial-NoDerivs 4.0 International License (CC BY-NC-ND 4.0), which permits the non-commercial replication and distribution of the article with the strict proviso that no changes or edits are made and the original work is properly cited (including links to both the formal publication through the relevant DOI and the license). See: https://creativecommons.org/licenses/by-nc-nd/4.0/.

References

17. Wang Z, Bergeron N, Levison BS, et al. Impact of chronic dietary red meat, white meat, or non-meat protein on trimethylamine N-oxide metabolism and renal excretion in


78. Spence JD. Coronary calcium is not all we need: Carotid plaque burden measured by ultrasound is better. Atherosclerosis 2019;287:179-80.

Cite this article as: Spence JD. Management of asymptomatic carotid stenosis. Ann Transl Med 2020. doi: 10.21037/atm-20-975