Total deposition of ultrafine particles in the lungs of healthy men and women: experimental and theoretical results

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Background: Inhaled ultrafine particles (UFP) may induce greater adverse respiratory effects than larger particles occurring in the ambient atmosphere. Due to this potential of UFP to act as triggers for diverse lung injuries medical as well as physical research has been increasingly focused on the exact deposition behavior of the particles in lungs of various probands. Main purpose of the present study was the presentation of experimental and theoretical data of total, regional, and local UFP deposition in the lungs of men and women.

Methods: Both experiments and theoretical simulations were carried out by using particle sizes of 0.04, 0.06, 0.08, and 0.10 µm [number median diameters (NMD)]. Inhalation of UFP took place by application of predefined tidal volumes (500, 750, and 1,000 mL) and respiratory flow rates (150, 250, 375, and 500 mL·s⁻¹). For male subjects a functional residual capacity (FRC) of 3,911±892 mL was measured, whereas female probands had a FRC of 3,314±547 mL. Theoretical predictions were based on (I) a stochastic model of the tracheobronchial tree; (II) particle transport computations according to a random walk algorithm; and (III) empirical formulae for the description of UFP deposition.

Results: Total deposition fractions (TDF) are marked by a continuous diminution with increasing particle size. Whilst particles measuring 0.04 µm in size deposit in the respiratory tract by 40–70%, particles with a size of 0.10 µm exhibit deposition values ranging from 20% to 45%. Except for the largest particles studied here TDF of female probands are higher than those obtained for male probands. Differences between experimental and theoretical results are most significant for 0.10 µm particles, but never exceed 20%. Predictions of regional (extrathoracic, tracheobronchial, alveolar) UFP deposition show clearly that females tend to develop higher tracheobronchial and alveolar deposition fractions than males. This discrepancy is also confirmed by airway generation-specific deposition, which is permanently higher in women than in men.

Conclusions: From the experimental data and modeling predictions it can be concluded that females bear a slightly higher potential to develop lung insufficiencies after exposure to UFP than males. Besides higher deposition fractions occurring in female subjects, also total lung deposition dose is noticeably enhanced.

Keywords: Ultrafine particles (UFP); deposition; inhalation experiment; stochastic model; lung

Introduction

In the past decades ultrafine particles (UFP), representing the particulate fraction <0.10 µm in diameter, have increasingly aroused scientific interest because of several reasons: First, they occur in the ambient air in much greater number than particles belonging to coarser fractions (>0.10 µm); second, most particles of the UFP fraction are characterized by highly irregular geometries, so that their surface area and, as a consequence of that, their surface area to mass ratio are remarkably increased with respect to other particulate substances; third, due to their high number and surface area UFP are predestined to act as carriers of hazardous gaseous compounds (1-5).

Once UFP have entered the respiratory system they are subject to specific forces inducing their partial deposition on the epithelial walls of the tracheobronchial tree and the...
ultrafine particle deposition in healthy adult lungs

In healthy men and women and its dependence on diverse breathing parameters. In this respect, the contribution has to be regarded as an extension of the results provided by Jaques and Kim (4).

Methods

Experimental setup

For the inhalation experiments performed by Jaques and Kim (4), 11 men and 11 women ranging in age from 20 to 40 years were recruited. After a comprehensive health check the probands’ basic lung function was analyzed by spirometry and body plethysmography. Generation of ultrafine aerosol particles was carried out by condensation of sebacate oil vapor on nonhygroscopic metallic nuclei. The produced aerosols were diluted with filtered air and forwarded to the inhalation system. Number median diameter (NMD) of the generated particles amounted to 0.04, 0.06, 0.08, and 0.10 µm, respectively, whereas their geometric standard deviation (σg) ranged from 1.27 to 1.34. Aerosol concentration administered to the test subjects via the inhalation device was constantly set to ~50,000 particles/cm³.

Test aerosols were inhaled from a collapsible bag with a volume of 20 L. For statistical reasons each proband inspired the aerosol via the oral path for 10 to 20 breaths. The following 6 breathing patterns were distinguished: (I) tidal volume (Vt) of 500 mL at a respiratory flow rate (Q) of 150 mL·s⁻¹; (II) Vt = 500 mL and Q = 250 mL·s⁻¹; (III) Vt = 750 mL and Q = 250 mL·s⁻¹; (IV) Vt = 750 mL and Q = 375 mL·s⁻¹; (V) Vt = 1,000 mL and Q = 250 mL·s⁻¹; (VI) Vt = 1,000 mL and Q = 500 mL·s⁻¹. The total number of particles inhaled (Nᵢ) and exhaled (Nₑ) was calculated breath by breath using a computer system adjusted to the experimental setup. Total lung deposition fraction (TDF) was then computed by application of the simple formula TDF = (Nᵢ − Nₑ)/Nᵢ.

Theoretical approach to the behavior of UFP in the respiratory tract

Hypothetical predictions of inhalation, transport, and deposition of UFP were based on both the stochastic model of the human lung architecture generated by Koblinger and Hofmann (28) and the random particle transport approach outlined by the same authors (29). Concerning the stochastic lung model necessary variability of airway morphometry within a given airway generation was induced...
with the help of generation-specific probability density functions of essential geometric parameters (diameter, length, branching angle, gravity angle) and by randomly selecting values from these functions. According to the random walk model each particle inhaled follows its individual path through the tracheobronchial tree. At each airway bifurcation, selection of the further particle path is partly influenced by the volumetric splitting of the air flow, so that higher probability is given for the particle to enter the major daughter tube. Statistical evaluation of these single particle scenarios is enabled by application of the Monte Carlo method, where the trajectories of high numbers of particles (e.g., 10,000) are modeled simultaneously.

For the theoretical simulation of UFP deposition Brownian motion was assumed to represent the main deposition mechanism exerting on the particles. As comprehensively outlined in previous publications (21-25), deposition efficiency of UFP generally correlates with the residence time of particulate substances in single lung structures, or, in other words, the longer a particle requires passing an airway or an alveolus, the higher is its probability to be deposited on the respective epithelial wall. Further parameters influencing the effectiveness of deposition by Brownian motion are particle diameter, size of the structures passed by UFP, and, not less unimportant, temperature (25,26). Although diffusive particle transport plays the most essential role within the size category regarded for this study, also possible deposition caused by particle inertia and gravity were considered (21-28).

Modeling predictions were conducted by assuming monodisperse aerosols with particles adopting those sizes mentioned above. For the sake of simplicity a uniform particle density of 1.0 g·cm\(^{-3}\) was used. Mean functional residual capacity (FRC) of male probands was set to 3,911±892 mL, whereas that of female probands amounted to 3,314±547 mL. Mean functional residual capacity of male probands was set to 3,911±892 mL, whereas that of female probands amounted to 3,314±547 mL. Respective values of the breathing rate is enhanced (21,22) and will be only subject to a coarse outline. Regarding UFP measuring 0.04 µm in size extrathoracic deposition fraction ranges from 0.41 to 0.64 (experiment) and from 0.47 to 0.66 (model), whereas TDF obtained for female probands varies between 0.47 and 0.68 (experiment) and between 0.56 and 0.72 (model). As clearly shown by the data, in both sexes, deposition decreases with the respiratory flow rate and, in the case of constant flow rate, exhibits an increase with tidal volume. Particles with a size of 0.06 µm deposit in the male respiratory tract by 33% to 56% (experiment) and by 36% to 57% (model). In the female respiratory tract respective TDF ranges from 37% to 56% (experiment) and from 45% to 62% (model). Breathing-related tendencies of UFP deposition are similar to those found for 0.04 µm particles. Inhalation of particles measuring 0.06 µm in size produces a TDF varying between 0.31 and 0.48 (experiment) and between 0.25 and 0.45 (model) in the case of male probands. For female subjects TDF ranging from 0.30 to 0.46 (experiment) and from 0.33 to 0.52 (model) could be calculated. Breathing-related deposition trends already reported for smaller particles were also found here, but are marked by lower intensities. With regard to particles adopting a diameter of 0.10 µm experimental TDF computed for males commonly ranges from 0.26 to 0.40, whilst theoretical TDF ranges from 0.22 to 0.40. Respective values found for females vary between 0.25 and 0.39 in the case of experiments and between 0.27 and 0.46 in the case of model predictions.

**Advanced modeling predictions**

Since the experimental work is exclusively concerned with the presentation and interpretation of total deposition data and their dependence on the selected breathing patterns, further modeling predictions were focused on regional and airway generation-specific deposition behavior of UFP. Hence, for the particle sizes and breathing conditions mentioned above extrathoracic, tracheobronchial, and alveolar deposition fractions were computed. Results of these advanced predictions are summarized in Figures 1-4 and will be only subject to a coarse outline. Regarding UFP measuring 0.04 µm in size extrathoracic deposition fraction ranges from 0.032 to 0.046 in male subjects and from 0.030 to 0.040 in female ones. In both sexes a significant decline of this fraction can be recognized, when the respiratory flow rate is enhanced (Figure 1A). Tracheobronchial deposition fraction predicted for male probands varies between 0.32 and 0.41, whilst that one predicted for females varies between 0.37 and 0.44. Increasing velocity of the inhaled air stream commonly results in a diminution of the particle
number colliding with the airway walls (Figure 1B). Alveolar deposition fraction adopts values ranging from 0.10 to 0.21 in men and ranging from 0.14 to 0.25 in women. Here, also a negative correlation between deposited particulate mass and respiratory flow rate may be found (Figure 1C).

Concerning particles adopting a diameter of 0.06 µm predicted deposition fractions are generally subject to a decrease with respect to 0.04 µm particles, whereas relationships between extent of deposition and breathing conditions are very similar to the case described above. Extrathoracic deposition fraction ranges from 0.026 to 0.038 in male probands and from 0.025 to 0.034 in female ones. Tracheobronchial deposition fraction amounts to 0.25–0.34 in males and to 0.30–0.36 in females, whereas alveolar deposition fraction adopts values ranging from 0.085 to 0.20 (men) and from 0.12 to 0.24 (women; Figure 2). Particles with a diameter of 0.08 µm deposit in the male extrathoracic region by 2.2–3.1 % and in the female extrathoracic airways by 2.1–3.0 %. Tracheobronchial deposition fraction of these particles ranges from 0.17 to 0.27 (men) and from 0.23 to 0.31 (women; Figure 3). With regard to particles adopting a diameter of 0.10 µm particle deposition fractions predicted for male probands range from 0.020 to 0.028 (extrathoracic), from 0.18 to 0.24 (tracheobronchial), and from 0.04 to 0.14 (alveolar). Respective deposition fractions predicted for female probands range from 0.020 to 0.027 (extrathoracic), from 0.21 to 0.28 (tracheobronchial), and from 0.06 to 0.16 (alveolar; Figure 4).

Table 1 Experimental and theoretical data of total particle deposition fractions in men and women assuming different particle sizes and breathing conditions; experimental results have been adopted from Jaques and Kim (4)

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NMD, number median diameter; Vt, tidal volume; Q, flow rate.
For a selected breathing pattern ($V_t = 1,000$ mL, $Q = 250$ mL·s$^{-1}$) deposition of UFP in single airway generations was modeled. By definition, the trachea corresponds to airway generation 0 and the tracheobronchial tree was assumed to contain 26 airway generations. Generation-specific deposition of particles measuring 0.04 µm in size is characterized by differences between male and female subjects insofar as maximum deposition fraction is located in airway generation 19 in men and in airway generation 18 in women. Additionally, deposition fractions predicted for female probands are slightly higher than those predicted for male probands (Figure 5A). Deposition patterns...
produced for 0.06 µm particles have their maximum values in airway generation 19 (men and women), with deposition fractions adopting values between 0.06 and 0.07 (Figure 5B). Regarding particles with a size of 0.08 µm local particle deposition is already noticeably reduced with respect to smaller UFP and thus ranges from 0.001 to 0.06. Deposition fractions predicted for female subjects exceed those reported for male probands by about 15%. Maximum deposition again occurs in airway generation 19 (men and women; Figure 5C). Particles adopting a diameter of 0.10 µm are generally marked by lowest local deposition fractions. In male probands respective values range from...
0.001 to 0.043, whereas in females values varying between 0.001 and 0.051 were calculated. Highest deposition fractions are observable in airway generation 19 (men and women; Figure 5D).

**Discussion and conclusions**

It is considered a proven fact that inhaled UFPs deposit in rather large amounts in the human respiratory tract. As demonstrated by both experimental and theoretical studies total deposition fractions (TDF) of UFP may vary between 20% and 95% and depend on (I) particle size, with smaller UFP exhibiting a higher tendency for deposition than larger ones; (II) the breathing conditions; and (III) the morphometry of the respiratory system taking up the particulate substances (13-15,21-28,30). In the present contribution experimental results including TDF of UFP with different sizes (0.04, 0.06, 0.08, and 0.10 µm) were compared with respective fractions predicted by a stochastic model for the same size classes. In both the practical and theoretical study males and females were recruited as test subjects in order to investigate intersexual discrepancies in UFP deposition. In addition, breathing conditions were varied by modification of the tidal volume and the respiratory flow rate. As a first fundamental result obtained from experimental and hypothetical work, female probands exhibit TDF exceeding those of male subjects by up to 15%. Only particles measuring 0.10 µm in size are deposited to almost the same extent in female and male lungs. Previous studies have yielded evidence that gender effects on UFP deposition are not forcedly a result of different lung volumes and body sizes (4,13). It is much more assumed that some other factors may play an essential role with regard to the differential total deposition observed between men and women. Morphometric studies could demonstrate that the upper airways are much smaller in females than in males, even if lung volume and body size are constant among the sexes (31,32). By assuming constant respiratory flow rates among male and female subjects, this morphometric particularity occurring in women may have
Figure 6 Dependence of total deposition fraction on the product of respiratory flow rate and (particle size) \(^{(2)}\). According to the regression curves differences in deposition between men and women are partly significant. Bold curve, women; dashed curve, men.

an influence on the velocity of the air stream and therefore may force particle deposition by turbulent diffusion. The used model represents a simplification of this circumstance insofar as all airways are subject to a constant down-scaling in female probands, so that all lung regions are concerned by an increased diffusive effect.

As a general observation an increase of tidal volume causes a partly remarkable rise of UFP deposition, whereas an increase of the respiratory flow rate has a reciprocal effect on deposition (Figure 6). Higher tidal volumes have the effect that more inhaled air has to pass the air-conducting structures to reach the pulmonary gas-exchange zone located in the alveoli. Since the volume of the tracheobronchial system has to be evaluated as rather static (c. 150 mL), more alveolar spheres are subjected to inflation and the inhaled aerosol is distributed to deeper parts of the lungs. Within these structures, being significantly reduced in size with respect to the upper airways of the respiratory tract (18,21,31), Brownian motion is characterized by higher efficiency and particle deposition fractions are increased. Besides the circumstance that any increase of the tidal volume forces inhaled particles to penetrate deeper into the lung, also the time of the particles for residing in deeper lung regions positively correlates with the volume of inspired air (21,25-28). Jaques and Kim (4) have found that, for a given value of the breath-cycle time of 4 s (inhalation time = exhalation time =2 s), the residence time of particles in the alveolar region increases from 2.8 to 3.4 s as tidal volume is enhanced from 500 to 1,000 mL. The combination of longer residence times with short deposition distance commonly results in a higher efficiency of diffusion. By increasing the respiratory flow rate small structures of peripheral airways and alveoli are reached more rapidly but residence times are noticeably decreased again, so that diffusive transport of UFP is practically limited to shorter time spans (Figure 6) (21,29). It has to be noted, however, that extremely fast inhalation and exhalation of aerosols bearing UFP again result in a reduction of the deposition fraction and an increased number of expired particles (22,24).

Advanced theoretical computations show that in both sexes the tracheobronchial and alveolar regions represent main targets of UFP, whereas the extrathoracic region is only affected by deposition fractions ranging from 2% to 5%. Whilst low tidal volumes and low flow rates lead to preferred deposition of UFP in the airways, high volumes of inhaled air have the effect of enhanced alveolar deposition. Due to the reasons already mentioned above women are commonly marked by higher deposition fractions than men. Concretely speaking, regional deposition fractions may differ between male and female subjects by up to 10%, so that exposure to ambient aerosols with high concentrations of UFP may have more dramatic health effects on women than on men.

According to previous studies TDF measured in male and female subjects were characterized by a potential difference, with supramicrometer particles (dp =1–7.5 µm) exhibiting most significant intersexual divergence (19,20,33,34). In the concrete case, particles with diameter exceeding 2 µm are characterized by total deposition which is 10–30% greater in women than in men, whereas 1 µm particles do not produce a gender effect on total deposition at all. As already mentioned elsewhere in this contribution smaller dimension of the female tracheobronchial tree with respect to the male one has a noticeable effect on particle deposition, whereby, in the case of large particles, inertial impaction is subject to an enhancement (30). Concerning UFP a similar gender effect is mainly observable for those particles having an inherently high deposition probability (d, <0.1 µm). Although intersexual discrepancies in total, regional, and local particle deposition are usually on the order of several percent and thus may be evaluated as subtle, they may become more essential under certain inhalation conditions.

Regarding the theoretical deposition of UFP in single airway generations of the lungs, the image sketched above finds its full confirmation. Additional information obtainable from the prediction of local deposition fraction among other includes a concentration of deposited particle mass between airway generation 17 and airway generation
21. In addition, local deposition in female lungs exceeds that in male lungs by at least several percent. As found in earlier studies (21,25-28) higher inhalation volumes and respiratory flow rates cause a dislocation of main deposition towards more peripheral structures.

In this context it has to be noted that the rate constant of coagulation is much greater for UFP than for particles measuring >0.1 µm in size. Coagulation, however, results in a continuous increase of particle size and, as a consequence of that, in a change of the TDF if duration of the breath-cycle exceeds a certain threshold value. As argued by Jaques and Kim (4) efficiency of particle coagulation is chiefly dictated by aerosol concentration and a significant change in particle size may be only expected for high particle numbers in the inhaled air stream (>10^7 particles/m^3). For most experimental setups an aerosol concentration of about 10^5 – 10^6 particles/cm^3 is measured and breath-cycle time is smaller than 10 s, so that coagulation may be regarded as negligible effect.

A main question concerns the fate of UFP after being deposited on the bronchial and alveolar walls. In general, particulate mass deposited in the respiratory system undergoes a clearance process which can be subdivided into several phases with different clearance velocities (mucociliary clearance, slow bronchial clearance, and alveolar clearance) (35-41). UFPs, which have not been captured on the mucus layer, are taken up by epithelial cells or transferred through the extracellular matrix to a high extent (42) which strongly increases their chance to reach the blood capillaries. There they may induce local effects such as stenosis or may be subject to a further transport through the cardiovascular system and a penetration into main organs of the peripheral body regions. In the worst case they may be the principal cause for a systemic failure of the human organism (3,7) or the initiation of malignant transformations (43,44). If the probability of UFP-induced disease is assumed to correlate with the total lung deposition dose (i.e., the number of deposited particles per unit surface area and time), female lungs seem to undergo a higher health risk than male lungs.

Acknowledgements

None.

Footnote

Conflicts of Interest: The author has no conflicts of interest to declare.

Ethical Statement: This study was approved by the institutional ethic review board and informed consent was obtained from all patients.

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